

Voice - (214) 767-4056, (800) 368-1019 TDD - (214) 767-8940, FAX - (214) 767-0432 http://www.hhs.gov/ocr/ Office for Civil Rights, Southwest Region 1301 Young Street, Suite 1169 Dallas, TX 75202

February 15, 2017

Mr. Justin Shafer 7704 Sagebrush Ct., S. North Richland Hills, TX 76182

Our Transaction number: 17-260717

Dear Mr. Shafer:

Thank you for your correspondence received on January 31, 2017, by the Department of Health and Human Services, Office for Civil Rights (OCR).

We are in the process of reviewing your correspondence to decide whether OCR has authority and is able to take action with respect to the matters you have raised. We will complete our initial review as quickly as possible.

If you have any questions, please contact:

Office for Civil Rights, Southwest Region 1301 Young Street, Suite 1169 Dallas, TX 75202

1-800-368-1019



When contacting this office, please remember to include the transaction number that we have given your file. That number is located in the upper left-hand corner of this letter.

Sincerely,

Marisa M. Smith

Marisa M. Smith, Ph.D. Regional Manager

Assigned to:



## DEPARTMENT OF HEALTH & HUMAN SERVICES

Voice - (415) 437-8310, (800) 368-1019 TDD - (415) 437-8311, (800) 537-7697

FAX - (415) 437-8329 http://www.hhs.gov/ocr/ OFFICE OF THE SECRETARY
Office for Civil Rights, Pacific Region
90 7th Street, Suite 4-100
San Francisco, CA 94103

February 24, 2017

Mr. Justin Shafer 7704 Sagebrush Ct S North Richland Hills, TX 76182

OCR Transaction Number: 17-260717

Dear Mr. Shafer:

Thank you for your complaint received on January 31, 2017 by the Department of Health and Human Services, Office for Civil Rights (OCR), alleging that Community Health Plan of Washington is not in compliance with the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules).

OCR enforces the Privacy and Security Rules, and also enforces Federal Civil Rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

OCR has decided to consolidate your allegations into another case, <u>OCR Reference Number 17-257412</u>, where OCR is reviewing similar allegations. Accordingly, we are closing your individual complaint (i.e., Reference Number 17-260717) effective the date of this letter. We will notify you of the outcome of the complaint into which yours is consolidated.

Sincerely,

Michael Leoz

Regional Manager

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Voice - (404) 562-7886, (800) 368-1019 TDD - (404) 562-7884, (800) 537-7697 Fax - (404) 562-7881 http://www.hhs.gov/ocr

Office for Civil Rights, Region IV Atlanta Federal Center, Suite 16T70 61 Forsyth Street, S.W. Atlanta, GA 30303

FEB 2 7 2017

Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

OCR Transaction Number: 04-17-260715

Dear Mr. Shafer:

Thank you for your correspondence received on January 31, 2017 by the Department of Health and Human Services, Office for Civil Rights (OCR). Your correspondence was transferred to this office on February 13, 2017.

We are in the process of reviewing your correspondence to decide whether OCR has authority and is able to take action with respect to the matters you have raised. We will complete our initial review as quickly as possible.

If you have any questions, please contact:

Cassie Harris Equal Opportunity Specialist Office for Civil Rights, Region IV Atlanta Federal Center, Suite 16T70 61 Forsyth Street, S.W. Atlanta, GA 30303 Telephone: (404) 562-7860

Email: Cassie.Harris@hhs.gov

When contacting this office, please remember to include the transaction number that we have given your file. That number is located in the upper left-hand corner of this letter.

Sincerely.

Timothy Noonan Regional Manager Office for Civil Rights



OFFICE OF THE SECRETARY

Office for Civil Rights, Region III (Mid-Atlantic) 150 S. Independence Mall West Public Ledger Building, Suite 372 Philadelphia, PA 19106-3499

Voice-(215) 861-4441 TDD -(215) 861-4440 FAX -(215) 861-4431 http://www.hhs.gov/ocr

Reference: Investigator:

17-260562 Alisha Welch Contact Telephone: 215-861-4439

April 6, 2017

Mr. Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

Dear Mr. Shafer:

On January 30, 2017, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), received your complaint alleging that the dental practice of Ronald Schultz, the covered entity, has violated the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules). Specifically, you allege that protected health information from the dental practice of Ronald Schultz was available on a file search engine.

OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter.

OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.







Office for Civil Rights, Region III (Mid-Atlantic) 150 S. Independence Mall West Public Ledger Building, Suite 372 Philadelphia, PA 19106-3499 Voice-(215) 861-4441 TDD -(215) 861-4440 FAX -(215) 861-4431 http://www.hhs.gov/ocr

Reference: 17-260706
Investigator: Alisha Welch
Contact Telephone: 215-861-4439

April 6, 2017

Mr. Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX-76182

Dear Mr. Shafer:

On January 31, 2017, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), received your complaint alleging that Oakview Physical Therapy, the covered entity, has violated the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules). Specifically, you allege that protected health information from Oakview Physical Therapy was available on a file search engine.

OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter.

OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.



Voice - (212) 264-3313, (800) 368-1019 TDD - (212) 264-2355 (FAX) - (212) 264-3039 http://www.hhs.gov/ocr/

APR 0 7 2017

Office for Civil Rights, Region II Jacob Javits Federal Building 26 Federal Plaza, Suite 3312 New York, NY 10278

Mr. Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, Texas 76182

OCR Transaction Number: 17-256666

Dear Mr. Shafer:

On December 13, 2016, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), received your complaint alleging that Dansville Dental Professionals, LLC (Dansville Dental), the covered entity, has violated the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules). Specifically, you allege that on September 18, 2016, you found a file containing Dansville Dental's patient information on a public file transfer protocol server.

OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter.

OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.

We regret we are unable to assist you further. Thank you.

Sincerely,

Linda C. Colón Regional Manager

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(FAX) - (212) 264-3039

http://www.hhs.gov/ocr/

Voice - (212) 264-3313, (800) 368-1019

TDD - (212) 264-2355, (800) 537-7697

Office for Civil Rights, Region II Jacob Javits Federal Building 26 Federal Plaza, Suite 3312 New York, NY 10278

February 28, 2017

Mr. Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

RE: Our Transaction Number 17-260688

Dear Mr. Shafer:

Thank you for your correspondence received on January 31, 2017 by the Department of Health and Human Services, Office for Civil Rights (OCR).

We are in the process of reviewing your correspondence to decide whether OCR has authority and is able to take action with respect to the matters you have raised. We will complete our initial review as quickly as possible.

When contacting this office, please remember to include the transaction number that we have given your file. That number is located in the upper left-hand corner of this letter.

If you have any questions, please contact us at the address and/or telephone numbers listed above.

Sincerely,

Ms. Peterson, for

Linda C. Colón Regional Manager Voice - (404) 562-7886, (800) 368-1019 TDD - (404) 562-7884, (800) 537-7697 (FAX) (404) 562-7881 http://www.hhs.gov/ocr/ OFFICE OF THE SECRETARY

Office for Civil Rights, Region IV 61 Forsyth Street, S.W. Atlanta Federal Center, Suite 16T70 Atlanta, GA 30303-8909

Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

Re: OCR Transaction Number: 17-260715

Dear Mr. Shafer:

On January 31, 2017, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), received your complaint alleging that Doctor's Health Group of South Florida, the covered entity, has violated the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules), and the Breach Notification Rule Subpart D - Notification in Case of Breach of Unsecured Protected Health Information ("PHI") (45 C.F.R. §§ 164.400-164.414). Specifically, you allege that on March 21, 2016, Doctor's Health Group of South Florida stored electronic PHI insecurely online.

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OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter.

OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.

We regret we are unable to assist you further.





Voice - (404) 562-7886, (800) 368-1019 TDD - (404) 562-7884, (800) 537-7697 Fax - (404) 562-7881 http://www.hhs.gov/ocr Office for Civil Rights, Region IV Atlanta Federal Center, Suite 16T70 61 Forsyth Street, S.W. Atlanta, GA 30303

APR 2 8 2017

Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

OCR Transaction Number: 04-17-263652

Dear Mr. Shafer:

On March 3, 2017, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), Region IV received your complaint alleging that the Physical Rehabilitation Centers violated the Federal Standards for Privacy of Individually Identifiable Health Information (45 C.F.R. Parts 160 and 164, Subparts A and E, the Privacy Rule) and the Breach Notification Rule Subpart D - Notification in Case of Breach of Unsecured Protected Health Information ("PHI") (45 C.F.R. §§ 164.400-164.414)."

OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil rights laws which prohibit discrimination in the delivery of health and human services because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter. OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.

Sincerely

We regret we are unable to assist you further.

Regional Manager

Office for Civil Rights

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Voice - (212) 264-3313, (800) 368-1019 TDD - (212) 264-2355 (FAX) - (212) 264-3039 http://www.hhs.gov/ocr/

APR 1 0 2017

Office for Civil Rights, Region II Jacob Javits Federal Building 26 Federal Plaza, Suite 3312 New York, NY 10278

Mr. Justin Shafer 7704 Sagebrush Court S. North Richland Hills, Texas 76182

OCR Transaction Number: 17-257654

Dear Mr. Shafer:

On December 27, 2017, the U.S. Department of Health and Human Services (HHS), Office for Civil Rights (OCR), received your complaint alleging that Toma Cornelius, MD (the covered entity) violated the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules). Specifically, you allege that on November 16, 2016, you found a ftp server on the internet that contained a database (EzClaim) that may contain the protected health information of 6,000 patients of the covered entity.

OCR enforces the Privacy, Security, and Breach Notification Rules, and also Federal civil because of race, color, national origin, disability, age, and under certain circumstances, sex and religion.

We have reviewed your complaint and have determined that OCR will not investigate your allegations. Therefore, OCR is closing this complaint with no further action, effective the date of this letter.

OCR's determination as stated in this applies only to the allegations in this complaint that were reviewed by OCR.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.

## DEPARTMENT OF HEALTH & HUMAN SERVICES



Chicago Office 233 North Michigan Avenue, Suite 240 Chicago, IL 60601

Kansas City Office 601 East 12th Street, Room 353 Kansas City, MO 64106 Office for Civil Rights
Midwest Region
Website: http://www.hhs.gov/ocr

Voice - (800) 368-1019 TDD - (800) 537-7697

June 8, 2017

Justin Shafer 7704 Sagebrush Ct. S. North Richland Hills, TX 76182

OCR Transaction number: 17-260282

Dear Mr. Shafer:

We are writing in response to your complaint, dated January 26, 2017, against Patterson Dental under the Federal Standards for Privacy of Individually Identifiable Health Information and/or the Security Standards for the Protection of Electronic Protected Health Information (45 C.F.R. Parts 160 and 164, Subparts A, C, and E, the Privacy and Security Rules) and the Breach Notification Rule (45 C.F.R. Parts 160 and 164, Subpart D) promulgated pursuant to the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

OCR normally can only accept complaints that are filed within 180 days of when you knew or should have known that the alleged violation of the standards occurred, unless the time limit is waived for good cause shown. We have determined that you have not provided information sufficient to establish good cause and therefore, we cannot extend the 180 day deadline for filing. As such, we regret to inform you that the Office for Civil Rights cannot accept your complaint.

Your complaint is being closed, and no further action will be taken.

Under the Freedom of Information Act, we may be required to release this letter and other information about this case upon request by the public. In the event OCR receives such a request, we will make every effort, as permitted by law, to protect information that identifies individuals or that, if released, could constitute a clearly unwarranted invasion of personal privacy.

Sincerely,

Steven M. Mitchell

Acting Regional Manager

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