

New York State Board of Elections Compliance Unit
Compliance Review Checklist – 2020 July Periodic Report
 Committee Type 1: Single Candidate Authorized Committee

Committee Name and Filer ID #: 9927 - Friends Of Robert J. Rodriguez			
Deficiencies Found: (D)*	YES	Reviewer Name:	Laurie Barone
Training Issues Found: (T) *	YES	Reviewer Contact Phone #:	518-474-2063
Compliant:	NO	Date of Review:	November 9, 2021
Report Information:	Report period: July Periodic		Report year: 2020
	Date Range of report: 01/12/20 - 07/11/20		OHNT/TRMD: No
	Date Report Filed: July 30, 2021		Amendment: No
Schedules Used in this report:	<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D <input type="checkbox"/> E <input type="checkbox"/> F <input type="checkbox"/> G <input type="checkbox"/> H <input type="checkbox"/> I <input type="checkbox"/> J <input type="checkbox"/> K <input type="checkbox"/> L <input type="checkbox"/> M <input type="checkbox"/> N <input type="checkbox"/> O <input type="checkbox"/> P <input type="checkbox"/> Q <input type="checkbox"/> R		

COMMENTS: Missing address for Arnaldo Segarra, please amend to provide.

LLC's and PAC's should be reported under transaction type Monetary Contributions/All Other, please amend.

Beginning January 31, 2019, all contributions made to a campaign or political committee by an LLC/PLLC must be attributed to each member of the LLC in proportion to the member's ownership interest in the company. To do so, upon receipt of a contribution *of any amount* from a Limited Liability Company (LLC, including PLLCs), the contribution must first be disclosed, and then **allocated** on the applicable filing. It is incumbent upon the treasurer to obtain all the required information from the contributing LLC. That includes the name(s), address(es), and percent ownership in the LLC/PLLC (in order to calculate how much of the total contribution is attributed to each owner). **Must amend this filing to include the required information.**

REVIEW OF ALL SCHEDULES:		Y/N	D/T*	Instructions:	LIST SCHEDULES:
1.	Date Range of filed report incorrect	N	T	If Yes, must amend	
2.	Negative Balance	N	D	If Yes, must amend	
3.	Missing or incomplete names	N	D	If Yes, must amend	
4.	Missing or incomplete addresses	Y	T	If Yes, must amend	
5.	Missing check #'s	N	D	If Yes, must amend	
6.	Missing or incomplete dates	N	D	If Yes, must amend	
7.	Missing amounts	N	D	If Yes, must amend	
8.	Cntrbs on this report in excess of election limit	N	D	If Yes, see FAQ sheet	
9.	Anonymous Contributions	N	D	If Yes, see FAQ sheet	
10.	Cash contribution greater than \$100	N	D	If Yes, see FAQ sheet	
11.	Raffles	N	D	If Yes, see FAQ sheet	
12.	Payments to individual/entity without itemization	N	D	If Yes, must amend	
13.	Incorrect use of schedule	Y	T	If Yes, must amend	
14.	Reimbursements reported incorrectly	N	T	If Yes, must amend	
15.	Failed to provide Sch O breakdown for LLC cntrbs	Y	D	If Yes, must amend	

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SCHEDULE A: INDIVIDUAL & PARTNERSHIP CONTRIBUTIONS				
16.	Incorrect use of schedule	N	T	If Yes, please amend
17.	Incorrect use of contribution code	N	T	If Yes, please amend
18.	Joint contributions	N	T	If Yes, please amend
19.	Partnership contribution over \$2,500	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y		If Yes, refer to Schedule O
20.	Contribution received from a non U.S. address	N	D	If Yes, see FAQ sheet
SCHEDULE B: CORPORATE CONTRIBUTIONS				
21.	Incorrect use of schedule	N	T	If Yes, please amend
22.	Aggregate corporate cntrb > \$5,000 on this report	N	D	If Yes, must refund, see FAQ
23.	Contribution received from a non U.S. address	N	D	If Yes, see FAQ sheet
SCHEDULE C: OTHER CONTRIBUTIONS				
24.	Incorrect use of schedule	N	T	If Yes, please amend
25.	Incorrect use of contribution code	N	T	If Yes, please amend
26.	Aggregate LLC contrb > than \$5,000 on this report	N	D	If Yes, must refund, see FAQ
SCHEDULE D: IN-KIND CONTRIBUTIONS				
27.	Incorrect use of schedule	N	D	If Yes, must amend
28.	Incorrect use of contribution code	N	T	If Yes, please amend
29.	Failed to provide amount of contribution	N	D	If Yes, must amend
30.	Failed to provide description of contribution	N	D	If Yes, must amend
SCHEDULE E: OTHER RECEIPTS				
31.	Incorrect use of schedule	N	T	If Yes, please amend
32.	Failed to provide receipt type	N	T	If Yes, please amend
33.	Code: "OTHER" used without explanation	N	T	If Yes, please amend
SCHEDULE F: EXPENDITURES				
34.	Incorrect use of schedule	N	T	If Yes, please amend
35.	Incorrect use of purpose code	N	T	If Yes, please amend
36.	Code: "OTHER" used without explanation	N	T	If Yes, please amend
37.	Cash expenditure greater than \$100	N	T	Cash expenditures > \$100 prohibited
38.	Wages/payroll payment failed to provide employee info	N	D	If Yes, must amend
39.	Reimbursements reported incorrectly	N	T	If Yes, please amend
40.	Payments to individual/entity without itemization	N	D	If Yes, must amend to provide itemization
41.	Reimbursement to candidate without explanation	N	D	If Yes, must amend to provide explanation
42.	Credit card payments without itemization	N	D	If Yes, must amend to provide itemization
43.	Credit card itemization reported incorrectly	N	T	If Yes, please amend
SCHEDULE G: TRANSFERS IN				
44.	Improper use of Transfers In schedule?	N	D	If Yes, must amend
SCHEDULE H: TRANSFERS OUT				
45.	Improper use of Transfers Out schedule?	N	D	If Yes, must amend
SCHEDULE I: LOANS RECEIVED				

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46.	Incorrect use of schedule	N	T	If Yes, please amend
47.	Were any loans reported received?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y		If No, skip to next schedule
48.	Is the loan received from a lobbyist, PAC, IE Committee or Labor Union?	N	D	If Yes, must refund, see FAQ
49.	Failed to provide letter of indebtedness?	N	D	If Yes, must provide
50.	Failed to file corresponding Schedule N	N	T	If Yes, please file Schedule N
SCHEDULE J: LOAN REPAYMENTS				
51.	Incorrect use of schedule	N	T	If Yes, please amend
52.	Were any loans repaid?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y		If No, skip to next schedule
53.	Failed to file corresponding Sch I to disclose loan receipt?	N	D	If Yes, must amend
54.	Failed to provide letter of indebtedness for this loan?	N	D	If Yes, must provide
55.	Failed to provide original loan date	N	D	If Yes, must amend
56.	Failed to provide name and/or address of lender	N	D	If Yes, must amend
57.	Failed to provide check #	N	D	If Yes, must amend
58.	Failed to provide date repaid	N	D	If Yes, must amend
59.	Failed to provide amount paid	N	D	If Yes, must amend
SCHEDULE K: LIABILITIES/LOANS FORGIVEN				
60.	Incorrect use of schedule	N	T	If Yes, please amend
61.	Was a loan or liability forgiven?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y		If No, skip to next schedule
62.	Failed to provide date forgiven	N	D	If Yes, must amend
63.	Failed to provide original date of debt	N	D	If Yes, must amend
64.	Failed to provide name and/or address of creditor/ lender	N	D	If Yes, must amend
65.	Failed to provide amount forgiven	N	D	If Yes, must amend
66.	Failed to provide letter of forgiveness	N	D	If Yes, must supply letter of forgiveness
67.	Is amount forgiven greater than receiving limit?	N	D	If Yes, must amend
SCHEDULE L: EXPENDITURE REFUNDS				
68.	Incorrect use of schedule	N	T	If Yes, please amend
69.	Failed to provide date received	N	T	If Yes, please amend
70.	Failed to provide name and/or address of payor	N	T	If Yes, please amend
71.	Failed to provide original payment date	N	T	If Yes, please amend
SCHEDULE M: CONTRIBUTION REFUNDS				
72.	Incorrect use of schedule	N	T	If Yes, please amend
73.	Failed to provide the date of original contribution	N	D	If Yes, must amend
74.	Failed to provide the amount refunded	N	D	If Yes, must amend
75.	Failed to provide the date of refund	N	D	If Yes, must amend
76.	Failed to provide name and/or address of contributor	N	D	If Yes, must amend
77.	Failed to provide refund check #	N	D	If Yes, must amend
SCHEDULE N: OUTSTANDING LOANS/LIABILITIES				
78.	Incorrect use of schedule	N	T	If Yes, please amend
79.	Failed to provide original loan/liability amount	N	D	If Yes, must amend
80.	Failed to provide amount still outstanding	N	T	If Yes, please amend
81.	Failed to use appropriate purpose codes	N	T	If Yes, please amend
82.	Failed to file corresponding Schedule I/ loans received	N	D	If Yes, must file Schedule I

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SCHEDULE O: PARTNERS/SUBCONTRACTORS				
83.	Partnership cntrb > \$2500 reported on Schedule A	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next schedule	
84.	LLC contributions reported on Schedule C	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next schedule	
85.	Subcontractor payments reported?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next schedule	
86.	Failed to provide contribution date as reported on Sch A, C and/or D	N	T	If Yes, please amend
87.	Failed to provide contribution amt as reported on Sch A, C and/or D	N	T	If Yes, please amend
88.	Failed to provide partnership name and/or address	N	D	If Yes, must amend
89.	Failed to provide amount attributed to each partner	N	D	If Yes, must amend
90.	Failed to provide Sch O breakdown for all partnership cntrb > \$2500	N	D	If Yes, must amend
91.	Failed to provide LLC name and/or address	N	D	If Yes, must amend
92.	Failed to provide amount attributed to each LLC owner	N	D	If Yes, must amend
93.	Failed to provide Sch O breakdown for all LLC contributions	N	D	If Yes, must amend
94.	LLC owner contribution amounts not reported correctly	N	T	If Yes, please amend
SCHEDULE P: NON-CAMPAIGN HOUSEKEEPING RECEIPTS				
This committee is not eligible to use Schedule P				
95.	Incorrect use of Schedule P	N	T	If Yes, please amend
SCHEDULE Q: NON-CAMPAIGN HOUSEKEEPING EXPENSES				
This committee is not eligible to use Schedule Q				
96.	Incorrect use of Schedule Q	N	T	If Yes, please amend
SCHEDULE R: EXPENSE ALLOCATION AMONG CANDIDATES				
This committee is not eligible to use Schedule R				
97.	Incorrect use of Schedule R	N	T	If Yes, please amend
MISCELLANEOUS				
98.		N		