

New York State Board of Elections Compliance Unit
Compliance Review Checklist – 2021 General Election Report
 Committee Type 3-7: Party or Constituted Committee

Committee Name and Filer ID #: 10891; Nassau County Conservative Party			
Deficiencies Found: (D)*	YES	Reviewer Name:	Bethany Hagadone
Training Issues Found: (T) *	YES	Reviewer Contact Phone #:	(518) 474-2063
Compliant:	NO	Date of Review:	December 21, 2021
Report Information:	Report period: 32 Day Pre General		Report year: 2021
	Date Range of report: 07/12/21 - 09/27/21		OHNT/TRMD: No
	Date Report Filed: October 4, 2021		Amendment: No

COMMENTS:

Monetary Contributions Received From Corporation

1. Bee Ready Fishbeinhatter & Donovan Llp and Lnn Gartner&Dunne Llp appear to be misreported under this transaction type. Amend filing to remove these transactions from Monetary Contributions Received from Corporations and include them as Partnerships on Monetary Contributions Received From Ind. & Part.

Monetary Contributions Received From All Other

1. Contribution from Friends of Vicki is missing a complete address. Amend filing to include this information.
2. Several contributions reported are Transfers. Candidates, candidate authorized committees, and party/constituted committees may use these transaction types to report a Type 1 Transfer, which is money between a party/constituted committee and a candidate or candidate's authorized committee, (i.e. John Doe for Mayor to My County Democratic Committee), or a Type 2 Transfer, which is money between two authorized committees solely supporting the same candidate, (i.e. Friends of John Doe to Citizens for John Doe). Amend filing to remove the transactions from Expenditures/Payments and include them on the Transfers In transaction type.
3. Sahn Ward is missing Ownership Allocation. Amend filing to include the allocation of the percentage of the contribution commensurate with the percentage ownership in the LLC.

Expenditures/Payments

1. Contribution to Anne Donnelly for District Attorney is missing a complete address. Amend filing to include this information.
2. Contributions to Anne Donnelly for District Attorney, Phillips for Comptroller, Desena for North Hempstead, and Citizens For Saladino are Transfers. Candidates, candidate authorized committees, and party/constituted committees may use these transaction types to report a Type 1 Transfer, which is money between a party/constituted committee and a candidate or candidate's authorized committee, (i.e. John Doe for Mayor to My County Democratic Committee), or a Type 2 Transfer, which is money between two authorized committees solely supporting the same candidate, (i.e. Friends of John Doe to Citizens for John Doe). Amend filing to remove the transactions from Expenditures/Payments and include them on the Transfers Out transaction type.

REVIEW OF ALL TRANSACTION TYPES:		Y/N	D/T*	Instructions:
1.	Date Range of filed report incorrect	N	T	If Yes, see comment(s) above
2.	Negative Balance	N	D	If Yes, see comment(s) above
3.	Missing or incomplete names	N	D	If Yes, see comment(s) above
4.	Missing or incomplete addresses	Y	T	If Yes, see comment(s) above
5.	Missing check #'s	N	D	If Yes, see comment(s) above
6.	Anonymous Contributions	N	D	If Yes, see FAQ sheet and Comment(s) above
7.	Cash contribution greater than \$100	N	D	If Yes, see FAQ sheet and Comment(s) above
8.	Raffles	N	D	If Yes, see FAQ sheet and Comment(s) above
9.	Payments to individual/entity without itemization	N	D	If Yes, see comment(s) above
10.	Incorrect use of transaction type	Y	T	If Yes, see comment(s) above

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11.	Failed to provide allocation for all LLC/PLLC contrbs	Y	D	If Yes, see comment(s) above
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MONETARY CONTRIBUTIONS RECEIVED FROM IND. & PART. (old Schedule A)				
12.	Incorrect use of transaction type	N	T	If Yes, please amend
13.	Incorrect use of contribution code	N	T	If Yes, please amend
14.	Joint contributions	N	T	If Yes, please amend
15.	Failed to provide Part. allocation for contrb > \$2,500	N	D	If Yes, must amend
16.	Contribution received from a non U.S. address	N	D	If Yes, see FAQ sheet
MONETARY CONTRIBUTIONS RECEIVED FROM CORPORATION (old Schedule B)				
17.	Incorrect use of transaction type	Y	T	If Yes, please amend
18.	Aggregate corporate cntrb > \$5,000 on this report	N	D	If Yes, must refund, see FAQ
19.	Contribution received from a non U.S. address	N	D	If Yes, see FAQ sheet
MONETARY CONTRIBUTIONS RECEIVED FROM ALL OTHER (old Schedule C)				
20.	Incorrect use of transaction type	Y	T	If Yes, please amend
21.	Incorrect use of contribution code	N	T	If Yes, please amend
22.	Aggregate LLC cntrb > \$5,000 on this report	N	D	If Yes, must refund, see FAQ
23.	Failed to provide allocation for LLC/PLLC contribution(s)	Y	D	If Yes, must amend
IN-KIND (NON-MONETARY) CONTRIBUTIONS RECEIVED (old Schedule D)				
24.	Incorrect use of transaction type	N	D	If Yes, must amend
25.	Incorrect use of contribution code	N	T	If Yes, please amend
26.	Failed to provide amount of contribution	N	D	If Yes, must amend
27.	Failed to provide description of contribution	N	D	If Yes, must amend
OTHER RECEIPTS RECEIVED (old Schedule E)				
28.	Incorrect use of transaction type	N	T	If Yes, please amend
29.	Code: "OTHER" used without explanation	N	T	If Yes, please amend
EXPENDITURES/PAYMENTS (old Schedule F)				
30.	Incorrect use of transaction type	Y	T	If Yes, please amend
31.	Incorrect use of purpose code	N	T	If Yes, please amend
32.	Code: "OTHER" used without explanation	N	T	If Yes, please amend
33.	Cash expenditure greater than \$100	N	T	Cash expenditures > \$100 prohibited
34.	Wages/payroll payment failed to provide employee info	N	D	If Yes, must amend
35.	Payments to individual/entity without itemization	N	D	If Yes, must amend to provide itemization
36.	Reimbursement to candidate without explanation	N	D	If Yes, must amend to provide explanation
37.	Credit card payments without itemization	N	D	If Yes, must amend to provide itemization
TRANSFERS IN (old Schedule G)				
38.	Improper use of Transfers In schedule?	N	D	If Yes, must amend
TRANSFERS OUT (old Schedule H)				
39.	Improper use of Transfers Out schedule?	N	D	If Yes, must amend
LOANS RECEIVED (old Schedule I)				
40.	Incorrect use of transaction type	N	T	If Yes, please amend

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41.	Were any loans reported received?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next schedule
42.	Is the loan received from a lobbyist, PAC, IE Committee or Labor Union?	N D	If Yes, must refund, see FAQ
43.	Failed to provide letter of indebtedness?	N D	If Yes, must provide
LOAN REPAYMENTS (old Schedule I)			
44.	Incorrect use of transaction type	N T	If Yes, please amend
45.	Were any loans repaid?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next transaction type
46.	Failed to provide letter of indebtedness for this loan?	N D	If Yes, must provide
47.	Failed to provide name and/or address of lender	N D	If Yes, must amend
48.	Failed to provide check #	N D	If Yes, must amend
LIABILITIES/LOANS FORGIVEN (old Schedule K)			
49.	Incorrect use of transaction type	N T	If Yes, please amend
50.	Was a loan or liability forgiven?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y	If No, skip to next transaction type
51.	Failed to provide name and/or address of creditor/ lender	N D	If Yes, must amend
52.	Failed to provide letter of forgiveness	N D	If Yes, must supply letter of forgiveness
EXPENDITURE REFUNDS (Increases Balance) (old Schedule L)			
53.	Incorrect use of transaction type	N T	If Yes, please amend
54.	Failed to provide name and/or address of payor	N T	If Yes, please amend
CONTRIBUTION REFUNDS (Decreases Balance) (old Schedule M)			
55.	Incorrect use of transaction type	N T	If Yes, please amend
56.	Failed to provide name and/or address of contributor	N D	If Yes, must amend
57.	Failed to provide refund check #	N D	If Yes, must amend
OUTSTANDING LIABILITIES/LOANS (old Schedule N)			
58.	Incorrect use of transaction type	N T	If Yes, please amend
59.	Failed to provide name and/or creditor	N D	If Yes, must amend
60.	Failed to use appropriate purpose codes	N T	If Yes, please amend
61.	Failed to file corresponding Schedule I/ loans received	N D	If Yes, must file Schedule I
NON-CAMPAIGN HOUSEKEEPING RECEIPTS (old Schedule P)			
62.	Incorrect use of transaction type	N T	If Yes, please amend
63.	Incorrect use of purpose code	N T	If Yes, please amend
64.	Failed to provide Part. allocation for contrb > \$2,500	N D	If Yes, must amend
65.	Failed to provide allocation for LLC/PLLC contribution(s)	N D	If Yes, must amend
SCHEDULE Q: NON-CAMPAIGN HOUSEKEEPING EXPENSES			
66.	Incorrect use of transaction type	N T	If Yes, please amend
67.	Incorrect use of purpose code	N T	If Yes, please amend
68.	Code: "OTHER" used without explanation	N T	If Yes, please amend
69.	Cash expenditure greater than \$100	N T	Cash expenditures > \$100 prohibited
70.	Wages/payroll payment failed to provide employee info	N D	If Yes, must amend
71.	Payments to individual/entity without itemization	N D	If Yes, must amend to provide itemization
72.	Reimbursement to candidate without explanation	N D	If Yes, must amend to provide explanation

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Compliance Review Checklist – 2021 General Election Report
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73.	Credit card payments without itemization	N	D	If Yes, must amend to provide itemization
EXPENSE ALLOCATION AMONG CANDIDATES (old Schedule R)				
74.	Missing or incomplete names	N	T	If Yes, please amend
75.	Missing or incomplete address	N	T	If Yes, please amend
MISCELLANEOUS				
75.		N		