

LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING • BOX 75 • ALBANY, NY 12247 LOCATION: ALFRED E. SMITH OFFICE BUILDING • SUITE 1431 • ALBANY, NY 12247 PHONE: (518) 432-7837/7838 FAX: (518) 426-6850

RECEIVED

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

For Calendar Year 2015

1.	Name Dun Quet
2.	(a) Title of Position Assemblymenber
	(b) Department, Agency or other Governmental Entity NYS ASSEMBLY
	(c) Address of Present Office 353 Lexington Avens, Suite 704, NY, NY 10016
	(d) Office Telephone Number 212605 0937
3.	(a) Marital Status Museled . If married, please give spouse's full name including maiden name where applicable. Mirium Quet
	(b) List the names of all unemancipated children.

Answer each of the following questions completely, with respect to calendar year 2015, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

State or

Position	Organization	Local Agency
NOT Applicable	e	
com of in		
position of spouse or unany firm, organization honorary postate or lagency or local business or had matters	y office, trusteeship, directorship, any nature, whether compensated or emancipated child of the reporting i corporation, association, partner other than the State of New York. Incomitions; do NOT list membership itions. If the listed entity was licotal agency, was regulated by any cal agency, or, as a regular and significant activity of said entity, did bus other than ministerial matters before list the name of any such agency.	not, held by the ndividual, with rship, or other lude compensated or uncompensated censed by any state regulatory icant part of the iness with, or
Position	Organization	Local Agency
President	Madison AVENE CONSOHIUMILLE	NO
		official street comm
	District Association in the second control of the second	

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
Altorney	Gowandez Sagain + Harlan	lan tiem	NO
	747 361 FUENIX		BLUEL DIES
	NEW YORK, NY 10017		

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

	Name & Address		State or
	Name & Address		Local
Position	of Organization	Description	Agency
President	Madison Avenue Gusc	Hamillo.	
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	NYC, AY ICIZY		
Advertising	doened licewood during	wt to NOW YORK ST	tuto lows
-ONCREN'S	ry the Formation of	FAN LLC	
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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
Act applicat				

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Net applicube	

8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, describe the services rendered for which compensation was paid including a general description of the principal subject areas of matters undertaken by such individual and principal duties performed. Specifically state whether the reporting individual provides services directly to clients. Additionally, if such an individual practices with a firm or corporation and is a partner or

shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

My law practice in 2015 conformed litigating and de Ending Personal Injury and Commercial claims and languits. My protice was focused in de Ending lawsuits, Indudate draftly of precious, discussed and conductive and defending depositions. I provide services directly to clients.

(b) Applicable only to new clients or customers for whom services are provided on or after July First, two thousand twelve and before december thirty-first, two thousand fifteen, or for new matters for existing clients or customers with respect to those services that are provided on or after July First, two thousand twelve and before december thirty-first, that the provided on or after July First, two thousand twelve and before december thirty-first, two thousand fifteen:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
 - (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (1) through (iv) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm

provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, provided, however, that a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	
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Nature of Services Provided

id	applicable.					
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(b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

If the reporting individual receives income from employment reportable in question 8(a) and personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), the reporting individual shall identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period in direct connection with:

- (i) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (ii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iii) A grant obtained through a legislative initiative during the reporting period; or
- (iv) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period.

For such services rendered by the reporting individual directly to each such client, describe each matter that was the subject of such representation, the services actually provided and the payment received.

For payments received from clients referred to the firm by the reporting individual, if the reporting individual directly received

a referral fee or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such solicitation or direction of the procurement, reporting reporting individual need individual. A not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client Matter	Nature of Services	Provided Category of Amount (In Table I)
Airt applicable		
		W-Minter Hill Cotton Hill Man Mill Headles Hill
SERVICES ARE PRO THOUSAND FIFTEEN, CUSTOMERS WITH RES AFTER DECEMBER T OF THIS QUEST	VIDED ON OR AFTER I OR FOR NEW MATTERS PECT TO THOSE SERVICES HIRTY-FIRST, TWO THOUS	THAT ARE PROVIDED ON OR AND FIFTEEN (FOR PURPOSES IALL MEAN CONSULTATION,
thousand dollars under question 8 disclosed or exemp client or customer the reporting i reporting individu such services; or reporting individu	or more from employ (a), for each client ted in question 8 or 13 known to the reportional provided serulal in excess of (B) who had been billed al in excess of five to the terms of the content of the c	duals who receive tenment or activity reportable or customer NOT otherwise dusclose the name of each ting individual to whome vices: (A) who paid the five thousand dollars for dusth the knowledge of the housand dollars by the firm (a) for the reporting
	Services Actually Provided	Category of Amount (In Table I)
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FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY NAME);
- * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR REPRESENTATION OR CONSULTATION;
 - * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
 - * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
 - * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).
 - (ii) With respect to reporting individuals who disclosed in question 8(a) that the reporting individual did not provide services to a client but provided services to a firm or business, identify the category of amount received for providing such services and describe the services rendered.

Services Actually Provided	Category of Amount (Table I)
Not applicable	

A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in questions (b-1) and (b-2) shall not require disclosing clients or cus receiving medical, pharmaceutical or dental services, clients or customers health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall report the entity that provides such circumstances only compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to

minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and professional disciplinary rules, federal law or regulations restrict the disclosure of information relating to the reporting individual shall (i) disclose the work, identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response to questions (b-1) and (b-2) that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by professional disciplinary rules, federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements in questions (b-1) and (b-2). The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise the reporting individual may request an exemption to exempt, publicly disclosing the name of that client from the commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may

reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after January first, two thousand sixteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

(c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN:

If the reporting individual receives income of ten thousand dollars or greater from any employment or activity reportable under question 8(a), identify each registered lobbyist who directly referred to such individual a client who was successfully referred to the reporting individual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in

such circumstances only report the entity that compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or response a statement that pursuant to this paragraph, disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained is no longer restricted by federal law or locked box regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect clients represented in other matters not otherwise exempt, reporting individual may request an exemption to publicly disclosing the name of that client from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual the following: "My client is not currently state receiving my services or seeking my services in connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the joint commission or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the joint commission or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure

may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The joint commission or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Category of Amount (In Table 1)
West applicable	e	etti — — — ogni
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the nature or reporting in investment	the name, principal address of the business activity of a ndividual or such inc in excess of \$1,000 exc. and interests in real prope	ny entity in which the dividual's spouse had ar luding investments in
Not applicable	6	

Self, Spouse or Name of Child Donor Address of Gift (In Table I) 10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9. Source Description	9.	EXCESS of this state individual EXCLUDING the donor.	\$1,000, recement is for spouse of gifts from the term the fined in	eived during the ciled by the runemancipate a relative. gifts does no	ne reporting pe e reporting in ed child from INCLUDE the name of include reim	contributions, in riod for which ndividual or such the same donor, me and address of bursements, which lue and nature of
Self, Spouse or Name of Child Donor Address of Gift (In Table I) 10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.						Category
Spouse or Name of Child Donor Address of Gift Gift (In Table I) Address of Gift (In Table I) 10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.		Self.				
Child Donor Address of Gift (In Table I) Applicable 10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9. Source Description			Name of		Nature	Value of
10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.		_	Donor	Address	of Gift	Gift
10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.						(In Table I)
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expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9. Source Description				A.————————————————————————————————————		
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11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

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The value o		st shall be re	ported only	if reasons
promise, or o person, firm	the terms of, ther agreement l , or corporation ter leaving off	between the rep n with respect	to the employ to ther than	idual and yment of s
not applicable				
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providing for INDIVIDUAL in the State. (pension fund,	e the partie continuation of EXCESS of \$1,0 (This includes , profit-sharin buy-out agreeme	of payments or 000 from a price interests in g plan, or	benefits to or employer n or contr life	the REPORT OTHER T ibutions t or hea
providing for INDIVIDUAL in the State. (pension fund,	continuation of EXCESS of \$1,0 This includes profit-sharing, profit-sharing	of payments or 000 from a price interests in g plan, or	benefits to or employer n or contr life	the REPORT OTHER T ibutions t or hea
providing for INDIVIDUAL in the State. (pension fund, insurance;	continuation of EXCESS of \$1,0 This includes profit-sharing, profit-sharing	of payments or 000 from a price interests in g plan, or	benefits to or employer n or contr life	the REPORT OTHER ibutions to or hea
providing for INDIVIDUAL in the State. (pension fund, insurance;	continuation of EXCESS of \$1,0 This includes profit-sharing, profit-sharing	of payments or 000 from a price interests in g plan, or	benefits to or employer n or contr life	the REPORT OTHER ibutions to or hea

spouse for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching

income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

	Self/ Spouse	Source	Nature	Category of Amount (In Table I)
	SCIF	Gonzakz Sagajo.	HARBAI Lan Firm (solony	
	5PousE	Middion Avenue Con	STATEME)	nky G
14.	excess individ disclos reporte practic identif partner	of \$1,000 from the transport of transport of the transport of the transport of transport of the transport of	deferred income (not retire each source to be paid close of the calendar years filed, other than definabove. Deferred income a shall be listed in the area, the name of the filed through which the incomidual clients.	d to the reporting r for which this erred compensation derived from the ggregate and shall rm, corporation,
	Source Not a p	Pelicab R		Category of Amount (In Table I)
i.	transfe which t interes or rea which v	er other than to a this statement is a st in a trust, esta al property, by the	of income in EXCESS of relative during the reporting that report the reporting that fair of the reporting individual, in required to be reported betted.	orting period for consideration of an iterest, securities a excess of \$1,000,
	Item As or Tran	ssigned sferred	Assigned or Transferred to	Category of Value (In Table I)
	Notap	pliable		
	- 20 - 1 1 100 10 12 11 11			

15.

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

> Percentage of corporate stock owned or controlled (if more than 5% of publicly traded stock, or more than 10% if stock Type of _ not publicly traded, is held)

Category of Market Value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)

Spouse Entity

Self/

Issuing

Security

17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Self/ Spouse/ Corporation	Location	Size	General Nature	Acquisition Date	Percentage of Ownership	category of Market Value (In Table II)
Net applica	be					

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

	Type of Obligation, Date Due, and Nature	Category of
Name of Debtor	of Collateral, if any	Amount
		(In Table II)
Not applicable		%
2		

19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the

ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Name of Creditor or Guarantor	Type of Liabil and Collateral		of Amount (In Table II)
Not applicable			
		del	Re- ne at m va:
			17 27 28 10 10 10 10 10 10 10 10 10 10 10 10 10
interests are in	law relating to t the public interest l conduct or behavior se requirements.	and no adver	se inference of
01	7		
1/2			10 2016
(Signature of Repor	ting Individual)	Date (mont	h/day/year)

[CATEGORY OF INCOME TABLES]

TABLE I

Catacam	71			
Category		\$ 1	none	ė 1 000
Category			to under	
Category		\$ 1,000		
Category		\$ 5,000		
Category		\$ 20,000		
Category		\$ 50,000		\$ 75,000
Category		\$ 75,000		\$ 100,000
Category		\$ 100,000		\$ 150,000
Category		\$ 150,000	to under	\$ 250,000
Category		\$ 250,000	to under	\$ 350,000
Category		\$ 350,000	to under	\$ 450,000
Category		\$ 450,000	to under	
Category		\$ 550,000	to under	
Category		\$ 650,000	to under	
Category		\$ 750,000	to under	
Category		\$ 850,000	to under	
Category		\$ 950,000	to under	
Category	R	\$1,050,000	to under	
Category		\$1,150,000		\$1,250,000
Category	T	\$1,250,000		\$1,350,000
Category	U	\$1,350,000	to under	
Category	V	\$1,450,000	to under	
Category	W	\$1,550,000	to under	
Category		\$1,650,000	to under	\$1,750,000
Category	Y	\$1,750,000	to under	\$1,850,000
Category	Z	\$1,850,000	to under	\$1,950,000
Category	AA	\$1,950,000	to under	\$2,050,000
Category	BB	\$2,050,000	to under	\$2,150,000
Category	CC	\$2,150,000	to under	\$2,250,000
Category	DD	\$2,250,000	to under	\$2,350,000
Category	EE	\$2,350,000	to under	\$2,450,000
Category	FF	\$2,450,000	to under	\$2,550,000
Category		\$2,550,000	to under	\$2,650,000
Category	HH	\$2,650,000	to under	\$2,750,000
Category	II	\$2,750,000		, , ,
Category	JJ	\$2,850,000	to under	\$2,950,000
Category	KK	\$2,950,000	to under	\$3,050,000
Category	LL	\$3,050,000	to under	\$3,150,000
Category	MM	\$3,150,000	to under	\$3,250,000
Category	NN	\$3,250,000	to under	\$3,350,000
Category	00	\$3,350,000	to under	\$3,450,000
Category	PP	\$3,450,000	to under	\$3,550,000
Category	QQ	\$3,550,000	to under	\$3,650,000
Category		\$3,650,000	to under	\$3,750,000
Category		\$3,750,000	to under	\$3,850,000
Category		\$3,850,000	to under	\$3,950,000
Category		\$3,950,000		\$4,050,000
Category		\$4,050,000		\$4,150,000
Category		\$4,150,000		\$4,250,000
Category		\$4,250,000		\$4,350,000
Category		\$4,350,000		
Category		\$4,450,000		\$4,550,000
		, =, ===, ===		, -,

```
Category AAA
                $4,550,000 to under $4,650,000
                $4,650,000 to under $4,750,000
Category BBB
                $4,750,000 to under $4,850,000
Category CCC
Category DDD
                $4,850,000 to under $4,950,000
                $4,950,000 to under $5,050,000
Category EEE
                $5,050,000 to under $5,150,000
Category FFF
                $5,150,000 to under $5,250,000
Category GGG
Category HHH
                $5,250,000 to under $5,350,000
Category III
                $5,350,000 to under $5,450,000
                $5,450,000 to under $5,550,000
Category JJJ
Category KKK
                $5,550,000 to under $5,650,000
                $5,650,000 to under $5,750,000
Category LLL
                $5,750,000 to under $5,850,000
Category MMM
                $5,580,000 to under $5,950,000
Category NNN
                $5,950,000 to under $6,050,000
Category 000
                $6,050,000 to under $6,150,000
Category PPP
Category QQQ
                $6,150,000 to under $6,250,000
                $6,250,000 to under $6,350,000
Category RRR
Category SSS
                $6,350,000 to under $6,450,000
Category TTT
                $6,450,000 to under $6,550,000
Category UUU
                $6,550,000 to under $6,650,000
Category VVV
                $6,650,000 to under $6,750,000
Category WWW
                $6,750,000 to under $6,850,000
Category XXX
                $6,850,000 to under $6,950,000
Category YYY
                $6,950,000 to under $7,050,000
Category ZZZ
                $7,050,000 to under $7,150,000
                $7,150,000 to under $7,250,000
Category AAAA
Category BBBB
                $7,250,000 to under $7,350,000
                $7,350,000 to under $7,450,000
Category CCCC
                $7,450,000 to under $7,550,000
Category DDDD
Category EEEE
                $7,550,000 to under $7,650,000
Category FFFF
                $7,650,000 to under $7,750,000
Category GGGG
                $7,750,000 to under $7,850,000
Category HHHH
                $7,850,000 to under $7,950,000
Category IIII
                $7,950,000 to under $8,050,000
Category JJJJ
                $8,050,000 to under $8,150,000
Category KKKK
                $8,150,000 to under $8,250,000
Category LLLL
                $8,250,000 to under $8,350,000
Category MMMM
                $8,350,000 to under $8,450,000
                $8,450,000 to under $8,550,000
Category NNNN
Category 0000
                $8,550,000 to under $8,650,000
Category PPPP
                $8,650,000 to under $8,750,000
Category QQQQ
                $8,750,000 to under $8,850,000
Category RRRR
                $8,850,000 to under $8,950,000
Category SSSS
                $8,950,000 to under $9,050,000
Category TTTT
                $9,050,000 to under $9,150,000
Category UUUU
                $9,150,000 to under $9,250,000
Category VVVV
                $9,250,000 to under $9,350,000
Category WWWW
                $9,350,000 to under $9,450,000
Category XXXX
                $9,450,000 to under $9,550,000
Category YYYY
                $9,550,000 to under $9,650,000
Category ZZZZ
                $9,650,000 to under $9,750,000
Category AAAAA $9,750,000 to under $9,850,000
Category BBBBB
               $9,850,000 to under $9,950,000
Category CCCCC $9,950,000 to under $10,000,000
Category DDDDD $10,000,000 or over
```

TABLE II

```
Category A
                          none
                       1 to under $
                                       1,000
Category B
Category C
              $
                   1,000 to under $
                                       5,000
              $
                   5,000 to under $
                                      20,000
Category D
              $
                  20,000 to under $
                                      50,000
Category E
              $
                  50,000 to under $
                                     75,000
Category F
Category G
              $
                  75,000 to under $ 100,000
                 100,000 to under $
Category H
              $
                                     150,000
Category I
              $
                 150,000 to under $
                                     250,000
Category J
              $
                 250,000 to under $ 500,000
Category K
              $
                 500,000 to under $ 750,000
              $ 750,000 to under $1,000,000
Category L
              $1,000,000 to under $1,250,000
Category M
              $1,250,000 to under $1,500,000
Category N
              $1,500,000 to under $1,750,000
Category 0
              $1,750,000 to under $2,000,000
Category P
              $2,000,000 to under $2,250,000
Category Q
Category R
              $2,250,000 to under $2,500,000
              $2,500,000 to under $2,750,000
Category S
              $2,750,000 to under $3,000,000
Category T
              $3,000,000 to under $3,250,000
Category U
Category V
              $3,250,000 to under $3,500,000
              $3,500,000 to under $3,750,000
Category W
              $3,750,000 to under $4,000,000
Category X
              $4,000,000 to under $4,250,000
Category Y
              $4,250,000 to under $4,500,000
Category Z
              $4,500,000 to under $4,750,000
Category AA
              $4,750,000 to under $5,000,000
Category BB
              $5,000,000 to under $5,250,000
Category CC
              $5,250,000 to under $5,500,000
Category DD
              $5,500,000 to under $5,750,000
Category EE
               $5,750,000 to under $6,000,000
Category FF
              $6,000,000 to under $6,250,000
Category GG
Category HH
               $6,250,000 to under $6,500,000
Category II
               $6,500,000 to under $6,750,000
               $6,750,000 to under $7,000,000
Category JJ
               $7,000,000 to under $7,250,000
Category KK
               $7,250,000 to under $7,500,000
Category LL
               $7,500,000 to under $7,750,000
Category MM
               $7,750,000 to under $8,000,000
Category NN
               $8,000,000 to under $8,250,000
Category 00
               $8,250,000 to under $8,500,000
Category PP
               $8,500,000 to under $8,750,000
Category QQ
               $8,750,000 to under $9,000,000
Category RR
               $9,000,000 to under $9,250,000
 Category SS
               $9,250,000 to under $9,500,000
 Category TT
               $9,500,000 or over
 Category UU
```

8 (P-5)(!)

-lient

Services Actually Provided

Category of Amount

E

E

American Transit

Insurance

(" ATI")

Represented Individuals in legal

Reviewed documents and correspondences

proceedings who were insured through policies of insurance with ATI

Provided legal advice on client matters to individual clients and ATT

Consulted with Individual clients and with ATI

Century Surety

Company

("(entury")

Reviewed documents and Correspondences

Represented individuals in legal
proceedings who were insured
through policies of insurance
with Century

Provided legal advice on client matters to Individual clients and Century

Consulted with inclividual clients and with Century

Client

The North American

Services, LLC ("IKEA")

Helmsman Monagemen

Helmsman Management Services, LLC ("Helmsman") as thild party administrator For IKEA Services Actually Provided

Reviewed documents and Correspondence

Represented IdEA in legal proceeding

Provided legal advice to ItEA

Consulted with IEEA

HAR Block Eastern Enterprises, INC. ("HRREE")

Gallagher Bossett Services as third party administrator For HRBEE

GRUL Enterprises Comp. INC. as thild party administrator for HRBEE

Reviewed documents and Correspondents

Represented HRBBE IN legal proceeding

Provided legal advice to HRBEE

Consulted with HRBEE

Category of Amount

E

E

11		
Self	Thurn burg Int. Mutual Fund (held in defende comp., see Q.11)	E
Self	Richenorth Mutual Fund (held in deferred Comp., See Q. 11)	
self	LORD Abbett Motual Fund (held in deferred GMP, See Q.11)	I
Self	Premier CORE BONCI (held IN IRA)	\subset
	Stock held in IRA	\subset
SelF	OPPENheimer mutual Fund (held in deferred Gomp., See Q.11)	E
Self	Fixed Account Mutual Fund (held in deferred Comp., See Q.11)	\subset
SROUSE	: Merrill Edge Bank of America, Mutual Fund	E



OCT 3 1 2016

LEGISLATIVE ETHICS COMMISSION STATE OF NEW YORK

MAIL: LEGISLATIVE OFFICE BUILDING-BOX 75-ALBANY, NY 12247
LOCATION: ALFRED E. SMITH STATE OFFICE BUILDING-SUITE 1431-ALBANY, NY 12210
PHONE: (518)432-7837/7838
SENATE EXT: 2142 ASSEMBLY EXT: 5218

Question 16 Amendment Form for Calendar Year 2015

Please complete the form. If a column is not applicable specify NONE.

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

> Percentage of corporate stock owned or controlled (if more than 5% of publicly traded

Category of Market Value as of the close of the

Self/ Spouse	Issuing Entity	Type of Security	stock, or more than 10% of stock not publicly traded, is held)	last occurring prior to the filing of this statement (In Table II)
See	Response t	o Quest	CON 11.	
Name:	Don Qu	net		
Title of Posi	tion: <u>Asser</u>	nblymember	•	
		•	_	EN YURLINY 100K
Signature of	reporting individu	al	Date (month/da	i6 ay/year)

TABLE II

```
Category A
                           none
Category B
              $
                       1 to under $
                                        1,000
                   1.000 to under $
                                        5,000
Category C
              $
                   5,000 to under $
                                       20,000
Category D
              $
                  20,000 to under $
                                       50,000
Category E
              $
                  50,000 to under $
                                       75,000
Category F
              $
Category G
              $
                  75,000 to under $
                                      100,000
Category H
              $
                 100,000 to under $
                                      150,000
                 150,000 to under $
Category I
              $
                                      250.000
              $
                 250,000 to under $
                                      500,000
Category J
              $
                 500,000 to under $
                                      750,000
Category K
Category L
              $
                 750,000 to under $1,000,000
              $1,000,000 to under $1,250,000
Category M
Category N
              $1,250,000 to under $1,500,000
Category O
              $1,500,000 to under $1,750,000
              $1,750,000 to under $2,000,000
Category P
              $2,000,000 to under $2,250,000
Category Q
              $2,250,000 to under $2,500,000
Category R
              $2,500,000 to under $2,750,000
Category S
Category T
              $2,750,000 to under $3,000,000
Category U
              $3,000,000 to under $3,250,000
Category V
              $3,250,000 to under $3,500,000
Category W
              $3,500,000 to under $3,750,000
              $3,750,000 to under $4,000,000
Category X
              $4,000,000 to under $4,250,000
Category Y
              $4,250,000 to under $4,500,000
Category 2
Category AA
              $4,500,000 to under $4,750,000
Category BB
              $4,750,000 to under $5,000,000
Category CC
              $5,000,000 to under $5,250,000
Category DD
              $5,250,000 to under $5,500,000
Category EE
              $5,500,000 to under $5,750,000
Category FF
              $5,750,000 to under $6,000,000
Category GG
              $6,000,000 to under $6,250,000
              $6,250,000 to under $6,500,000
Category HH
              $6,500,000 to under $6,750,000
Category II
              $6,750,000 to under $7,000,000
Category JJ
              $7,000,000 to under $7,250,000
Category KK
              $7,250,000 to under $7,500,000
Category LL
Category MM
              $7,500,000 to under $7,750,000
Category NN
              $7,750,000 to under $8,000,000
Category 00
              $8,000,000 to under $8,250,000
              $8,250,000 to under $8,500,000
Category PP
              $8,500,000 to under $8,750,000
Category QQ
              $8,750,000 to under $9,000,000
Category RR
              $9,000,000 to under $9,250,000
Category SS
              $9,250,000 to under $9,500,000
Category TT
Category UU
              $9,500,000 or over
```