

Financial Disclosure Statement - 2013

Filer Name Alvin L Bragg Jr

Primary Agency Attorney General, Office of the (03000)

1. Name

Responses

	First	M.I.	Last	Email
1)	ALVIN L		BRAGG JR	

2a. Position - Title

Responses

	Title
1)	ASST ATTY GEN

2b. Position - Department

Responses

	Department/Agency/Gov. Entity
1)	OFFICE OF THE ATTORNEY GENERAL

2c. Position - Address of Present Office

Responses

	Office Address
1)	120 BroadwayNew York, NY 10271

2d. Position - Office Telephone

Responses

	Office Telephone
1)	212-416-8501

3a. Family - Marital Status

Responses

	Marital Status	Spouse's Name
1)	Married	Jamila Ponton Bragg

3b. Family - Un-emancipated Children

Responses

Name	
1)	
2)	

4a. Outside Activities

List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Responses

	Position	Organization	State or Local Agency
1)	Assistant U.S. Attorney	U.S. Attorney's Office	Local law enforcement (e.g., NYC Special Commissioner of Investigation)

4b. Outside Activities - Spouse or Un-emancipated Child

List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting spouse or unemancipated child of the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Responses

	Spouse, Child	Position	Organization	State or Local Agency
1)	Commissioner	NYC Redistricting Committee		
2)	Commissioner	NYC Lobbying Commission	NYS Lobbying Commission	

5a. Outside Employment

List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business, or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

Responses

	Position	Name and Address of Organization	Description	State or Local Agency
1)	Assistant U.S. Attorney	U.S. Attorney's Office, One St. Andrew's Plaza, NY, NY 10007	Federal prosecution	Local law enforcement (e.g., NYPD and Special Commissioner of Investigation)

5b. Outside Employment - Spouse or Unemancipated Child

If the spouse or un-emancipated child of the reporting individual was engaged in any occupation, employment, trade, business, or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Responses

Spouse, Child	Position	Name and Address of Organization	Description	State or Local Agency
<i>None</i>				

6. Contracts

List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or un-emancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract.

Do NOT

include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Responses

Self, Spouse, Child	Entity which held interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract (In Table II)
<i>None</i>				

7. Political Activities

List any position the reporting individual held as an officer of any political or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

Responses

Position
<i>None</i>

8a. Profession & Business

If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, DESCRIBE THE SERVICES RENDERED FOR WHICH COMPENSATION WAS PAID INCLUDING A GENERAL description of the principal subject areas of matters undertaken by such individual AND PRINCIPAL DUTIES PERFORMED, SPECIFICALLY STATE WHETHER THE REPORTING INDIVIDUAL PROVIDES SERVICES DIRECTLY TO CLIENTS. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

Responses

Subject Areas	Description of Services
<i>None</i>	

8b. Profession & Business

APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totalling \$50,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period. For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (v) of this paragraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law, PROVIDED, HOWEVER, THAT a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Responses

Client	Nature of Services
	<i>None</i>

8c. Profession & Business

List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

Responses

Business Activity

None

9. Gifts

List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or un-emancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

Responses

Self, Spouse, Child	Name of Donor	Address	Nature of Gift	Category of Value of Contract (In Table II)
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None

10. Reimbursements

Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by non-governmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or fact-finding events. The term "reimbursements" does NOT include gifts reported under item 9.

Responses

Source	Description
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None

11. Retirement, Trust, Estates

List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

Responses

	Identity	Category of Value (In Table II)
1)	Federal Thrift Savings Plan	\$50,000 to under \$75,000
2)	Federal Employees Retirement System	\$1,000 to under \$5,000

12a. Employment Agreements

Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

Responses

Parties	Terms
<i>None</i>	

12b. Employment Agreements - Prior Employer

Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

Responses

	Parties	Terms
1)	Health benefits for approx. 30 days and payment for unused vacation days from the U.S. Dept. of Justice	

13. Income

List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. EACH SOURCE MUST BE DESCRIBED WITH PARTICULARITY. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Responses

	Self, Spouse	Source	Nature	Category of Value of Contract (In Table I)
1)	Self	U.S. Dept. of Justice	Employment	\$75,000 to under \$100,000
2)	Spouse	NYS	Unemployment	\$5,000 to under \$20,000

14. Income Due

List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Responses

Source	Category of Value of Contract (In Table I)
<i>None</i>	

15. Income Assignment/Asset Transfer

List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Responses

Item Assigned or Transferred	Assigned or Transferred To	Category of Value (In Table I)
<i>None</i>		

16. Investments

List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the **close of the taxable year last occurring** prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed **ONLY**

IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership **ONLY** if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item, the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8(a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

Responses

Self, Spouse	Issuing Entity	Type of Security	Percentage of Corporate Stock Owned or Controlled (If more than 5% of publicly traded stock, or more than 10% if stock not publicly traded is held)	Category of market value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
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None

17. Real Estate

List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

Responses

Self, Spouse, Corporation	Location	Size	General Nature	Acquisition Date	% of ownership	Category of market value as of the close of the taxable year last occurring prior to the filing of this statement (In Table II)
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None

18. Money Due

List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

Responses

Name of Debtor	Type of Obligation, Due Date, and Nature of Collateral, if any	Category of amount (In Table II)
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None

19. Debts

List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

Responses

	Name of creditor or guarantor	Type of Liability and Collateral, if any	Category of amount (In Table II)
1)	JPMorganChase	Home equity line of credit	\$150,000 to under \$250,000
2)	US Dept of Education	student loan	\$20,000 to under \$50,000

20. Agreement

Responses

	I agree
1)	true