

JAN 28 2016

New York State Legislature — 2016 Statement of Financial and Other Interests

Pursuant to Section 73, subdivision 6(a), of the Public Officers Law

When and Where to File. This Statement of Financial and Other Interests must be filed with the Legislative Ethics Commission on or after December fifteenth and before the following January fifteenth in each year by each legislative employee who receives annual compensation of \$91,821 or less and who is determined not to hold a policymaking position by his/her appointing authority. , holding the position of_ (please print name) (Senate, Assembly or other legislative entity) (rlame of office) (office address) make the following statement: 1) Each financial interest*, direct or indirect, of myself, my spouse and my unemancipated children under the age of 18 years in any activity which is subject to the jurisdiction of a regulatory agency**, or the name of the entity in which such interest is had, and whether such interest is over or under five thousand dollars in value, is as follows (if none, so state): Check One FINANCIAL INTERESTS (Type of Activity or Over Under Name of Entity) Held in Name of \$5,000 \$5,000 (attach sheet if more space is needed) 2) Every office and directorship held by me in any corporation, firm or enterprise which is subject to the jurisdiction of a regulatory agency**, including the name of such corporation, firm or enterprise, is as follows (if none, so state): Offices and Directorships Held Name of Corporation, Firm or Enterprise (attach sheet if more space is needed) 3) Any other interests or relationships which I determine in my discretion might reasonably be expected to be particularly affected by legislative action, or in the public interest should be disclosed, are as follows (if none, so state): Other Interests or Relationships (attach sheet if more space is needed) (Signature) *"Financial Interest" as described in statute: (I) A person has a "financial interest" in any entity if that person: (i) owns or controls ten percent or more of the stock of such entity (or one percent in the case of a corporation whose stock is regularly traded on an established securities exchange); of (ii) serves as an officer, director or partner of that entity.

**"Regulatory agency" means the department of financial services, state liquor authority, department of agriculture and markets, department of education, department of environmental conservation, department of health, division of housing and community renewal, department of state, other than the division of corporations and state records, department of public service, the industrial board of appeals in the department of labor and the department of law, other than when the attorney general or his agents or employees are performing duties specified in section sixty-three of the executive law.

False statements. Section 73(6)(c) of the Public Officers Law provides that: "Any...legislative employee who knowingly and willfully with intent to deceive makes a false statement or gives information which he knows to be false in any written statement required to be filled pursuant to this subdivision, shall be assessed a civil penalty in an amount not to exceed ten thousand dollars. Assessment of a civil penalty shall be made by the Legislative Ethics Commission in accordance with the provisions of subdivision twelve of section eighty of the legislative law. For a violation of this subdivision, the commission may, in lieu of a civil penalty, refer a violation to the appropriate prosecutor and upon conviction, but only after such referral, such violation shall be punishable as a class A misdemeanor."

New York State Legislature — 2017 Statement of Financial and Other Interests

Pursuant to Section 73, subdivision 6(a), of the Public Officers Law EG. ELGICS COMM

When and Where to File. This Statement of Financial and or after December fifteenth and before the following Janua						
compensation of \$91,821 or less and who is determined n	not to hold a policymaking position by his/he	er appointing authority	· .			
, LISA DERRICK	, holding the position of \underbrace{DiR}		perations			
in the office of Rodneys Bick	AL in the AS	in the ASSM DV				
(name of office)	(Senate, Assembl	y or other legislative e	entity)			
1414 Cortelyon Road	<u> </u>	0428	1			
(office address)	(office	(office telephone)				
make the following statement:						
 Each financial interest*, direct or indirect, of myself, activity which is subject to the jurisdiction of a regulatory such interest is over or under five thousand dollars in value 	agency**, or the name of the entity in which	n under the age of 18 h such interest is had	B years in any , and whether			
FINANCIAL INTERESTS		Checl	Check One			
(Type of Activity or Name of Entity)	Held in Name of	Over \$5,000	Under \$5,000			
		<u> </u>	-			
		-				
(attach sheet if more space is needed)						
·			_			
 Every office and directorship held by me in any corpagency**, including the name of such corporation, firm or 			f a regulatory			
Offices and Directorships Held	Name of Corporat	Name of Corporation, Firm or Enterprise				
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(attach sheet if more space is needed)						
 Any other interests or relationships which I determine legislative action, or in the public interest should be disclose 		ected to be particula	rly affected by			
Other Interests or Relationships						
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/A						
(attach sheet if more space is needed)	1					
10/10/000						
(Date) 19/19/90/6 (Signatur	re) Shoa New	rell				
*"Financial Interest" as described in statute: (I) A person has a the stock of such entity (or one percent in the case of a corporation as an officer, director or partner of that entity.	"financial interest" in any entity if that person: (i) ion whose stock is regularly traded on an establish	owns of controls ten per shed securities exchang	rcent or more of e); or (ii) serves			

**"Regulatory agency" means the department of financial services, state liquor authority, department of agriculture and markets, department of education, department of environmental conservation, department of health, division of housing and community renewal, department of state, other than the division of corporations and state records, department of public service, the industrial board of appeals in the department of labor and the department of law, other than when the attorney general or his agents or employees are performing duties specified in section sixty-three of the executive law.

False statements. Section 73(6)(c) of the Public Officers Law provides that: "Any...legislative employee who knowingly and willfully with intent to deceive makes a false statement or gives information which he knows to be false in any written statement required to be filed pursuant to this subdivision, shall be assessed a civil penalty in an amount not to exceed ten thousand dollars. Assessment of a civil penalty shall be made by the Legislative Ethics Commission in accordance with the provisions of subdivision twelve of section eighty of the legislative law. For a violation of this subdivision, the commission may, in lieu of a civil penalty, refer a violation to the appropriate prosecutor and upon conviction, but only after such referral, such violation shall be punishable as a class A misdemeanor."

New York State Legislature — 2018 Statement of Financial and Other Interests 29 2018

Pursuant to Section 73, subdivision 6(a), of the Public Officers Law

When and Where to File. This Statement of Financial a or after December fifteenth and before the following Jan	uary fifteenth in each year by each legislativ	ve employee who receives annual		
compensation of \$95,534 or less and who is determined	d not to hold a policymaking position by his/	her appointing authority.		
1, LISA L. DERRIC	, holding the position of	MER OF JOHA		
in the office of Romey's Bid	7777 1 TITE	ASSEMBLY (title)		
1312 Flatbush Ave B	(Senate, Assem) $(1 \text{An NV} \qquad 719-947)$	bly or other legislative entity) -04 <i>:28</i>		
(office address)	(of	(office telephone)		
make the following statement:	·			
1) Each financial interest*, direct or indirect, of mysel activity which is subject to the jurisdiction of a regulator such interest is over or under five thousand dollars in va	y agency**, or the name of the entity in whi			
FINANCIAL INTERESTS		Check One		
(Type of Activity or		Over Under		
Name of Entity)	Held in Name of	\$5,000 \$5,000		
NONE				
(attach sheet if more space is needed)				
2) Every office and directorship held by me in any coagency**, including the name of such corporation, firm,				
Offices and Directorships Held	Name of Corpora	Name of Corporation, Firm or Enterprise		
4				
(attach sheet if more space is needed)				
	the state of the s			
3) Any other interests or relationships which I determ legislative action, or in the public interest should be disc		rpected to be particularly affected by		
Other Interests or Relationships	• • • • • • • • • • • • • • • • • • •			
NONE				
	· ·			
(attach sheet if more space is needed)	/ / /			
(Date) 12-15-2017 (Signat	ture) See A M	esa (h)		
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^{*&}quot;Financial Interest" as described in statute: (I) A person has a "financial interest" in any entity if that person: (i) owns or controls ten percent or more of the stock of such entity (or one percent in the case of a corporation whose stock is regularly traded on an established securities exchange); or (ii) serves as an officer, director or partner of that entity.

^{**&}quot;Regulatory agency" means the department of financial services, state liquor authority, department of agriculture and markets, department of education, department of environmental conservation, department of health, division of housing and community renewal, department of state, other than the division of corporations and state records, department of public service, the industrial board of appeals in the department of labor and the department of law, other than when the attorney general or his agents or employees are performing duties specified in section sixty-three of the executive law.

New York State Legislature — 2019 Statement of Financial and Other Interests

Pursuant to Section 73, subdivision 6(a), of the Public Officers Law

When and Where to File. This Statement of Financial and Other Interests must be filed with the Legislative Ethics Commission on or after December fifteenth and before the following January fifteenth in each year by each legislative employee who receives annual compensation of \$97,448 or less and who is determined not to hold a policymaking position by his/her appointing authority.

I, Lisa L. Derrick	, holding the pos	, holding the position of Chief of Staff		
(please print name)	,		(title)	
in the office of Assemblymember Rodneyse Bichotte	in the	ASSEMBLY		
(name of office)	(5	(Senate, Assembly or other legislative entity)		
1312 Flatbush Avenue, Brooklyn NY 11210	(718) 940-0	0428		
(office address)		(office telephone)		
make the following statement:				•
1) Each financial interest*, direct or indirect, of myself, activity which is subject to the jurisdiction of a regulatory such interest is over or under five thousand dollars in value.	agency**, or the name of t	he entity in which su		
FINANCIAL INTERESTS	TERESTS		Check One	
(Type of Activity or			Over	Under
Name of Entity)	Held in Name	of	\$5 <u>,0</u> 00	\$5 <u>,0</u> 00
none				
		·		
(attach sheet if more space is needed)				
2) Every office and directorship held by me in any corpagency**, including the name of such corporation, firm, or			the jurisdiction of	of a regulatory
Offices and Directorships Held	Nam	Name of Corporation, Firm or Enterprise		prise
(attach sheet if more space is needed)				
3) Any other interests or relationships which I determine legislative action, or in the public interest should be disclosed.			ed to be particula	rly affected by
Other Interests or Relationships				
		1 ~		
(attach sheet if more space is needed)		3///		
(Date) 12/20/18 (Signatur	re) Jua	Va Asso		
*"Financial Interest" as described in statute: (I) A person has a	"financial interest" in any entity	/ if that person: (i) owns	or controls ten pe	rcent or more of

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