

**Non-DPS Contractual Agreement
Cover Sheet**

From: Brandie V. Knazze *BK*
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Deputy Commissioner
Family and Support Services

To: Tom Dzedzic
tom.dzedzic@cityofchicago.org
Department of Finance

Date: April 17, 2017

Please create a Blanket Purchase Order in FMPS for the attached Non-DPS Agreement. The following summary values must be identified to create a Blanket Purchase Order.

PO Number (if request is a Modification): 37461

Department Number: 050

Supplier Name: University of Chicago

Supplier Number: 55306038

Supplier Site: A

Ship-To: 050 – 2005 Family and Support Services

Bill-To: 050 – 2005 Family and Support Services

Original Agreed Amount: \$250,000.00

Additional Compensation: \$0.00

Target Market: N/A

Goods or Services: Services

Description of Agreement: Teen Outreach Program (TOP) Evaluation Extension

Original Agreement Start Date: March 15, 2016

Original Agreement End Date: March 31, 2017

New Agreement End Date: March 31, 2018

Please submit one fully executed and redacted copy of the Signature Ordinance, Contract/Agreement, and the Economic Disclosure Summary (EDS). Please submit only single-sided hard copies. In addition, please check the link to ensure that the supplier is not on the debarred vendor list.

http://www.cityofchicago.org/city/en/depts/dps/provdrs/comp/svcs/debarred_firms_list.html

month period. Such report shall indicate the name, title and salary of each such employee, the department or agency in which the title appears, the department or agency to which the employee is assigned, and a description of the duties being performed under the assignment. This provision shall not apply to work performed by one department or agency for another pursuant to contract. The first report shall be presented on April 20, 2017, and shall cover the period beginning January 1, 2017.

SECTION 7. The Commissioner of Human Resources shall prepare and present to the City Council on the twentieth day of each month a written report of all vacancies occurring during the preceding month due to resignation, retirement, death, layoff, promotion, demotion, discharge, or termination. The report shall be submitted on a form to be prepared by the Commissioner of Human Resources. The Commissioner of Human Resources shall prepare and present to the City Council monthly reports on all City employees hired during the preceding quarter.

The Budget Director shall prepare and present to the City Council on the twentieth day of each month, or post online on a monthly basis, a report of the overtime compensation paid to employees during the preceding month, on a form to be prepared by the Committee on the Budget and Government Operations.

SECTION 8. Grant applications, expenditures of grant funds, and all other aspects of the grant process described in this section shall be carried out in adherence to City-wide policies and procedures established and administered by the Office of Budget and Management in consultation with the Department of Finance, pursuant to the Mayor's direction, and shall further be subject to the limitations of this section. These mandatory policies and procedures shall govern all city grants, including those authorized under any municipal code provision or uncodified ordinance.

Subject to such policies and procedures, the Mayor and the heads of the various departments and agencies of the City Government are authorized to apply for grants from governmental and private grantors. With respect to such grants, and also with respect to city funds appropriated for grants to third parties, the Mayor and the heads of the various departments and agencies are authorized to execute grant and subgrant agreements and amendments thereto to effectuate the purposes of such grants and appropriations; to indemnify the grantor with respect to the performance of the grant, subject to the approval of the Corporation Counsel; and to execute such documents, and provide such additional information, assurances and certifications as are necessary, in connection with any of the foregoing, all subject to the foregoing mandatory Office of Budget and Management policies and procedures.

To the extent that revenue of a grant is not described in the appropriation from Fund 925—Grant Funds, or that an amendment increases the budget of a project beyond the appropriation described hereinafter, no expenditure of such grant revenues shall be made without prior approval of the City Council.

The Comptroller and the heads of the various departments and agencies of the City Government shall administer the revenues of grants received by standard accounts, in accordance with the standard classification of accounts and with the manual of the Department of Finance.

No later than the tenth day of each month, the Budget Director shall provide to the Committee on Finance a compilation of all grants awarded to the City in the preceding month.

On or before May 15, 2017, and on or before November 15, 2017, the Office of Budget and Management shall provide to the Committee on the Budget and Government Operations a report showing all federal and state funds received or administered by the City for the time periods October 1, 2016, through March 31, 2017, and April 1, 2017, through September 30, 2017, respectively. Community Development Block Grant funds shall be excluded from this report. The report shall list the amounts disbursed and purposes for which disbursements were made, and shall indicate the Grantor of the funds, purpose, service area(s) and number of positions supported.

In connection with any delegate agency grant agreements entered into between the City and the respective delegate agencies for 2017, the Chief Procurement Officer shall be authorized to resolve disputes between the respective delegate agency and the appropriate City department or agency and to promulgate and implement regulations in connection therewith.

SECTION 9. Any employee who is required and is authorized to use his or her personally owned automobile in the regular conduct of official City business shall be allowed and paid at the rate established from time to time by the Internal Revenue Service for the number of miles per month use of such privately owned automobile, to a maximum amount of \$550 per month, such maximum to be adjusted upward on February 1 of each year by the percentage increase, if any, in the Transportation Expenditure Category of the Consumer Price Index for All Urban Consumers (CPI-U): U.S. City Average for the previous year, as rounded to the nearest \$5 increment. Each annual adjustment shall be based on the adjusted amount for the previous year. Provided further, the foregoing computation shall be subject to provisions contained in contracts approved by the City Council between the City and recognized collective bargaining agents; and provided further that this allowance is subject to change by the City Council during the fiscal year in accordance with such contracts.

SECTION 10. In accordance with Section 2-60-080 of the Municipal Code, no expenditure may be made from any fund or line item account herein for the purpose of executing settlement agreements or entering into consent orders except upon order of the City Council. Provided, however, that this section shall not apply to: (i) settlement agreements or consent orders entered into where the amount is \$100,000 or less, or (ii) offers of judgment of \$500,000 or less made and accepted pursuant to Federal Rule of Civil Procedure 68, if before making any such offer of judgment, the Corporation Counsel obtains the written concurrence of both the Chairman and Vice-Chairman of the Committee on Finance, following a careful review of the facts and circumstances, that the making of such an offer is likely to reduce the City's liability in the case in question.

AMENDMENT TO GRANT AGREEMENT
(UNIVERSITY OF CHICAGO)

This Amendment to Grant Agreement is effective as of the first day of March, 2017, by and between University of Chicago, an Illinois not-for-profit corporation ("Grantee" or "University") , whose mailing address is 6030 South Ellis Avenue, Room 114, Chicago, Illinois 60637, and the City of Chicago, a municipal corporation and home rule unit of local government existing under the Constitution of the State of Illinois (the "City"), whose mailing address is 121 North LaSalle Street, 4th Floor, Chicago, Illinois 60602.

BACKGROUND

The City received a grant from the Bloomberg Family Foundation Inc. ("Grantor"), to increase the City's investment in data-driven predicative analyses to help leaders make smarter, faster decisions in real-time to help address and prevent governmental problems before they develop. Pursuant to Section 8 of the City's applicable annual budget ordinance, the City granted a portion of such grant from the Grantor to the University to assist in the development of strategies, based on such data analyses, and program evaluations relating to the reduction of youth involvement in crime. The City and the Grantee entered into that certain Grant Agreement dated as of March 15, 2016 (the "Agreement"). The City and the Grantee now desire to amend the Agreement to expand the Scope of Services (as such term is defined in Section 2.1 of the Agreement) and extend the Term of Performance (as such term is defined in Section 4.1 of the Agreement). Now, therefore, in consideration of the mutual promises contained in this Amendment, the City and Grantee agree to the following terms and conditions:

TERMS AND CONDITIONS

ARTICLE 1. INCORPORATION OF BACKGROUND AND EXHIBITS; DEFINITIONS;
EFFECT OF AMENDMENT

The Background information set forth above and the exhibits attached hereto are incorporated by reference as if fully set forth here. Capitalized terms used but not otherwise defined herein shall have the same meanings as set forth in the Agreement. Except as amended hereby the Agreement remains in full force and effect.

ARTICLE 2. AMENDMENTS TO AGREEMENT

- A. Scope of Services. Section 2.1 of the Agreement is amended to provide that, in the first sentence thereof, the Services the Grantee must provide are described in Exhibit 1 and Exhibit 1A.
- B. Term of Performance. Section 4.1 of the Agreement is amended to provide that, in the first sentence thereof, the Agreement takes effect as of the Effective Date and continues, except as terminated early, as provided in the Agreement, until March 31, 2018.
- C. Addition of Exhibit 1A. The Agreement is amended by adding Exhibit 1A.

SIGNED at Chicago, Illinois:

CITY OF CHICAGO

By:

Richard Emanuel
Mayor

UNIVERSITY OF CHICAGO

By:

Stephanie Maras
Stephanie Maras, Grants & Contracts, Award Manager
Acting on behalf of Michael R. Ludwig,
Associate VP for Research Administration

Its:

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EXHIBIT 1A
(Attached)



Science in Service
of Cities.

Teen Outreach Program (TOP) Evaluation Extension

While graduation rates in Chicago Public Schools have increased in the past few years, at least 1 in 5 CPS students does not graduate on time. Furthermore, these graduation rates vary by demographic and academic characteristics. To ameliorate disparities in high school graduation, it is essential to effectively target educational interventions towards those students who may benefit most. Partnering with CPS, the Education Lab has developed a predictive tool to identify students who may need additional support to graduate *while these children are still in middle school*.

In summer 2016, CPS piloted an intensive five-week intervention, using the Wyman Center's Teen Outreach Program (TOP) curriculum, to a group of rising eighth graders identified as in need of extra supports. The TOP curriculum connects youth with caring adults and mentors, develops life skills, and engages youth in community service. In summer 2016, Education Lab staff conducted observations and interviews with staff and youth at three of the seven TOP sites. In these visits, TOP facilitators and students described how the program built positive relationships between youth, adult staff, and youth mentors, and helped youth develop life skills such as communication and decision-making.

The Education Lab is requesting a no-cost extension to the funding received for this money in order to complete the following tasks:

- **Descriptive information.** Education Lab staff will conduct analyses on students who participated in TOP and those who did not to provide profiles or participating students to CPS in spring 2017. This information will be used to plan for any CPS summer programming that will take place in summer 2017 and will be combined with qualitative data analysis in a memo for CPS.
- **Data Collection.** In fall 2017, Education Lab will analyze CPS achievement data in order to understand whether participation in this intervention helped students stay on track academically in their eighth grade year. Students who participated in TOP in summer 2017 are currently in eighth grade. Final CPS data from this current school year 2016-17 will not be available until fall 2017. Therefore, analyses on the outcomes of this program cannot be conducted until that time.
- **Quasi-Experimental Design and Analysis.** The Education Lab plans to use a regression discontinuity design to compare the outcomes of students who qualify for the program (based on their risk score) to those just below the qualification cutoff. First, Education Lab analysts will determine whether such an analysis is possible, given which students were invited to and eventually attended the program. If such an analysis is possible, the Education Lab will analyze eighth grade academic outcomes for students who participated in TOP, and compare them with outcomes for similar students who were not offered the chance to participate in TOP. (If a regression discontinuity design is not possible, analyses will simply describe outcomes for students who participated in the program.) The Education Lab research team will also look into entering freshman year outcomes for first semester, if possible, since this is often cited as a drop-off point of transition for youth.
- **Dissemination.** The Education Lab will share study findings with CPS at the end of 2017 once results from the full 2016-17 school year are available. If these short-term analyses are promising, in the future the Education Lab will also seek to examine whether participation in the program helps students graduate from high school on time.

Budget

	4/1/2017 6/30/2017	7/1/2017 3/31/2018	TOTAL
<u>Personnel</u>			
Monica Bhatt	5.0%	5.0%	---
Monica Mielke	33.0%	33.0%	---
Sam Edds	33.0%	33.0%	---
Mara Heneghan	33.0%	33.0%	---
Total Salary	17,519	54,135	71,654
Total Benefits	29.8% 5,221	16,132	21,353
Subtotal Salary & Benefits	22,740	70,267	93,007
<u>Non-Personnel</u>			
Subtotal non-personnel			TOTAL
Total Direct Costs	22,740	70,267	93,007
Indirect Costs	20.0% 4,548	14,053	18,601
TOTAL PROJECT COSTS	27,288	84,320	111,608

Timeline

July-August 2016	<ul style="list-style-type: none"> Obtain parental consent for participants and high school mentors to be interviewed Conduct site visits to 3 program sites
April 2017	<ul style="list-style-type: none"> Prepare memo for CPS based on interview and observation data, and participant descriptive data
November 2017	<ul style="list-style-type: none"> Analyze 2016-17 school year results for program participants
December 2017 – March 2018	<ul style="list-style-type: none"> Update Analysis to accommodate incoming freshman statistics Report outcomes to CPS Disseminate results to other stakeholders as relevant



CERTIFICATE OF FILING FOR
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

EDS Number: 107564

Certificate Printed on: 04/12/2017

Date of This Filing: 04/12/2017 08:06 AM

Original Filing Date: 04/12/2017 08:06 AM

Disclosing Party: The University of Chicago

Filed by: Michael R Ludwig

Title: Associate Vice President for Research

Administration

Matter: Amendment

Applicant: The University of Chicago

Specification #:

Contract #: 37461

The Economic Disclosure Statement referenced above has been electronically filed with the City. Please provide a copy of this Certificate of Filing to your city contact with other required documents pertaining to the Matter. For additional guidance as to when to provide this Certificate and other required documents, please follow instructions provided to you about the Matter or consult with your City contact.

A copy of the EDS may be viewed and printed by visiting <http://webapps1.cityofchicago.org/EDSWeb> and entering the EDS number into the EDS Search. Prior to contract award, the filing is accessible online only to the disclosing party and the City, but is still subject to the Illinois Freedom of Information Act. The filing is visible online to the public after contract award.

