



OPD SWAT Team

OAKLAND POLICE DEPARTMENT

Operations Plan

TF-3116 (Rev. Dec 10)

Risk Assessment Overview Prepared and Attached

(A Risk Assessment Overview is not required for all operations. It is a tool to determine whether a Tactical Operations Team callout is recommended. Refer to TB III-Q, Risk Assessment Overview & Operations Plan)

Table with 4 columns: Date of Operation, Time of Operation, Incident Number, RD Number. Rows include Prepared By, Supervisor, Investigator, Tactical Commander, and Incident Commander with their respective Serial Nos. and Contact Numbers.

Table with 4 columns: Briefing Date, Time, Location, Conducted By. Rows include Briefing Date (04Oct18, 0400, Eastmont, E. Perez-Angeles) and Staging Date (04Oct18, 0400, Eastmont).

TYPE OF OPERATION

Form with checkboxes for various operation types: Buy Bust, Reverse Buy Bust, Buy Walk, Controlled Buy, Surveillance, Search Warrant, Arrest Warrant, Probable Cause Arrest, Vehicle Stop, Walking Stop, Probation Search, Parole Search, Trolling, Decoy, SOAP, 290 Enforcement, Internet Sting, Massage Parlor, Other.

TARGET LOCATION

Form for target location details including Address (Nevil St, Oakland Ca), City (Oakland), Jurisdiction (Oakland), Describe Location (City Street), Site Security (None), Counter Surveillance (Anticipate), and Other Info (Suburban, Perimeter Gate, Security Door).

OTHER INFORMATION

Form with checkboxes for other information: Handguns, Rifles, Assault Weapons, Automatic Weapons, Explosives, Other Weapons, Physical Fortification(s), Proximity to Schools, Children/Elderly/Disabled, Counter Surveillance, High Crime Area, Animals, Gang Affiliation, Approach Difficulties, Frequented by Public, High Foot Traffic, Chemical/Bio Hazards, Other.



SUSPECT #1 INFORMATION

Name [REDACTED]			Alias			Race B - Black		
Date of Birth [REDACTED]		Age 27	Sex M			Height 57	Weight 185	
Hair BLK	Eyes BRO	Marks/Scars/Tattoos [REDACTED]			Other			
Home Address [REDACTED]					Phone Number			
Work Address/Secondary Address					Phone Number			
Suspect Known To Be Armed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				Photograph Attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				

CRIMINAL HISTORY (Arrests and Convictions)

<input checked="" type="checkbox"/> Felony Arrests	<input checked="" type="checkbox"/> Felony Convictions	<input type="checkbox"/> History of Violence
<input type="checkbox"/> 3 Strike Candidate	<input checked="" type="checkbox"/> Gang Member	<input checked="" type="checkbox"/> Probation/Parole
Felony Convictions: [REDACTED]		
Misdemeanor Convictions:		
Arrests: [REDACTED]		
Probation/Parole: [REDACTED]		
Other:		

SUSPECT VEHICLE(S)

Year	Make	Model	Body Style	Color	Plate

ADDITIONAL INFORMATION

Mental Illness / Type Select One /	Substance Abuse / Type Select One / If Yes, select one /	Specialized Training / Type Select One / Select One /
Gang Affiliation / Name of Gang [REDACTED]	Anti-Government No	Other



Suspect #2 INFORMATION

Name [REDACTED]		Alias		Race B - Black	
Date of Birth [REDACTED]	Age 31	Sex M		Height 59	Weight 170
Hair BLK	Eyes BRN	Marks/Scars/Tattoos [REDACTED]		Other	
Home Address [REDACTED]			Phone Number		
Work Address/Secondary Address			Phone Number		
Suspect Known To Be Armed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			Photograph Attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

CRIMINAL HISTORY (Arrests and Convictions)

<input checked="" type="checkbox"/> Felony Arrests	<input checked="" type="checkbox"/> Felony Convictions	<input type="checkbox"/> History of Violence
<input type="checkbox"/> 3 Strike Candidate	<input checked="" type="checkbox"/> Gang Member	<input checked="" type="checkbox"/> Probation/Parole
Felony Convictions: [REDACTED]		
Misdemeanor Convictions:		
Arrests: [REDACTED]		
Probation/Parole: [REDACTED]		
Other: Active Ramey Warrant [REDACTED]		

SUSPECT VEHICLE(S)

Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate

ADDITIONAL INFORMATION

Mental Illness / Type Select One /	Substance Abuse / Type Select One / If Yes, select one /	Specialized Training / Type Select One / Select One /
Gang Affiliation / Name of Gang [REDACTED]	Anti-Government Select One	Other



Additional Person #3 INFORMATION

Name [REDACTED]		Alias		Race B - Black	
Date of Birth [REDACTED]	Age 30	Sex M		Height 64	Weight 220
Hair BLK	Eyes BRN	Marks/Scars/Tattoos		Other	
Home Address [REDACTED]			Phone Number		
Work Address/Secondary Address			Phone Number		
Suspect Known To Be Armed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Photograph Attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

CRIMINAL HISTORY (Arrests and Convictions)

<input checked="" type="checkbox"/> Felony Arrests	<input type="checkbox"/> Felony Convictions	<input type="checkbox"/> History of Violence
<input type="checkbox"/> 3 Strike Candidate	<input type="checkbox"/> Gang Member	<input type="checkbox"/> Probation/Parole
Felony Convictions:		
Misdemeanor Convictions: [REDACTED]		
Arrests: [REDACTED]		
Probation/Parole: No		
Other: Was seen insde target house on 2Oct18		

SUSPECT VEHICLE(S)

Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate

ADDITIONAL INFORMATION

Mental Illness / Type Select One /	Substance Abuse / Type Select One / If Yes, select one /	Specialized Training / Type Select One / Select One /
Gang Affiliation / Name of Gang [REDACTED]	Anti-Government Select One	Other



Additional Person #4 INFORMATION

Name [REDACTED]		Alias		Race B - Black	
Date of Birth [REDACTED]	Age 40	Sex M		Height 510	Weight 220
Hair BLK	Eyes BRN	Marks/Scars/Tattoos		Other	
Home Address [REDACTED]			Phone Number		
Work Address/Secondary Address			Phone Number		
Suspect Known To Be Armed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Photograph Attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

CRIMINAL HISTORY (Arrests and Convictions)

<input type="checkbox"/> Felony Arrests	<input type="checkbox"/> Felony Convictions	<input type="checkbox"/> History of Violence
<input type="checkbox"/> 3 Strike Candidate	<input checked="" type="checkbox"/> Gang Member	<input type="checkbox"/> Probation/Parole
Felony Convictions:		
Misdemeanor Convictions:		
Arrests: [REDACTED]		
Probation/Parole:		
Other: was seen inside target location on 20Oct18		

SUSPECT VEHICLE(S)

Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate
Year	Make	Model	Body Style	Color	Plate

ADDITIONAL INFORMATION

Mental Illness / Type Select One /	Substance Abuse / Type Select One / If Yes, select one /	Specialized Training / Type Select One / Select One /
Gang Affiliation / Name of Gang [REDACTED]	Anti-Government Select One	Other



MISSION

Mission

Situation: The OPD Criminal Investigation Division has come to the conclusion of their investigation and need the assistance of the OPD SWAT team along with several other SWAT teams with serving search warrants and arrest warrants in the City of Oakland and other neighboring cities.

Mission: The OPD SWAT Team will execute search warrants at [redacted] Nevil St, Oakland CA. and [redacted] MacArthur Blvd, Oakland Ca. The team will secure the residences for the case agents and “hand off” teams. While the team is executing the search warrant at Nevil St., [redacted] and his team will be conducting surveillance on [redacted] MacArthur Blvd until the team arrives.

Once Nevil St. is secured the OPD SWAT team will relocate to [redacted] MacArthur Blvd to do a follow up warrant service. Simultaneously other Agencies Will Be serving search warrants in Oakland and neighboring cities.

Method:

Mission execution will utilize the surround and call-out technique.

End State:

- 1) No officer injuries.
- 2) No civilian injuries.
- 3) Only reasonable force used.
- 4) Search warrant location secured and turned over to investigators.

Case Background / Intelligence

The Oakland Police Department Criminal Investigation Division along with [redacted] has been conducting an ongoing investigation on several members of the notorious criminal street gang known as [redacted]. The investigation indicates that [redacted] members are responsible for several crimes to include Homicide, Robbery, Weapons violations and Burglaries throughout the city of Oakland and other neighboring cities.

Evidence Anticipated

- Firearms, etc.
- Magazines
- Ammunition
- Indicia
- Electronic devices

Perimeter Plan

Sniper Locations:

- Team #1 – See Attachment A
- Team #2 – See Attachment A
- Team #3 – See Attachment A

Inner Perimeter Locations:



Traffic Control Locations:

Traffic control for both locations will be briefed during the PP presentation.

Approach Plan

█ Nevil St :

N/B 73rd Ave to highway 580

W/B 580

Take exit 25 (MacArthur Blvd / High St

Continue onto Calaveras Ave

Continue straight onto MacArthur Blvd (W/B)

Make a S/B turn into High St

OPD Bearcat , White van , ESU, K9, TNT, CP, will turn W/B on Brookdale.

OPD Bearcat will then make a S/B turn into 38th Ave to target location (will hold on Brookdale until rear perimeter is set).

BLK SUV, OPD Suburban, SL Bearcat, will continue S/B on High St then make a W/B turn onto Lyon. (rear perimeter will ride with suburban and SL bearcat).

SL bearcat will hold on Lyon until OPD suburban and rear perimeter is set.

█ MacArthur Blvd:

E/B Brookdale to High St.

N/B on High St to MacArthur Blvd

E/B MacArthur to █

Entry Plan

Entry will be made via the front door.

Alternate entry point will be though the back door located on the 3 side. (appears to be a sliding gas door).

Search Plan



OPD SWAT Team

Slow and methodical. Use of ESU equipment and K9 will be considered for deployment by the IC/TC prior to entry.

Rules Of Engagement

All General Orders and Departmental policies shall be followed by all members.

Sub-Team Mission

Sub-team missions will be briefed by respective Team Leaders.



OPD SWAT Team

OAKLAND POLICE DEPARTMENT

Operations Plan

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NEAREST HOSPITAL

Name ACH	Address 1411 E. 31 St	Emergency Room Phone Number 925-939-3000
		Life Flight Phone Number (800) 321-7828
Primary Driver TBD	Alternate Driver TBD	Route TBD-Via Driver

CONTINGENCY PLANS

If the ERT and perimeter units observe the occupants immediately resist by fleeing into the residence and/or closing the door after becoming aware of the presence of law enforcement, and do not comply with verbal commands to exit the residence, the deployment of limited chemical agents, along with Drag Stabilized Flexible Batons (DSBR) will be considered by the Incident or Tactical Commander (IC/TC) to select windows in order to overcome the resistance, gain compliance, and affect the lawful detention/arrest of the occupants. Announcements will be made via loud speaker by TNT for all occupants to stay away from the windows before any DSBR or chemical agents are deployed.

If the ERT and perimeter units do not observe immediate resistance from the occupants and occupants simply do not respond to attempts made to contact them, the IC/TC may order the deployment of DSBR to select windows. Announcements will be made via loud speaker by TNT for all occupants to stay away from the windows before any DSBR are deployed

If the occupants refuse to exit, the incident will be handled as a barricaded subject and additional chemical agents may be deployed at the discretion of the IC/TC.

Shots fired on the approach - Address the threat, abort entry and rally at the last cover and concealment. Maintain perimeter around the residence and order the occupants to exit.

Shots fired on entry/inside – Communicate the situation and request assets as needed. T/L will determine the course of action keeping in mind that it is safer to pull away from an armed threat than it is to close distance and assault.

Note: Members of the perimeter team will maintain their perimeter position and will NOT fire into the residence once the entry team is inside the location

Officer down - React to the threat. Communicate what is needed.

Abort-The on-scene commander and/or entry team leader may abort the mission at any time, if he/she does not feel comfortable with this arrest/search enforcement operation mission.

Compromise-If it is determined that any part of the investigation or the pre-warrant surveillance and/or entry team has been compromised during the operation, the On-Scene Commander will be notified and a decision will be made if the operation will be aborted or not.

Suspect(s) fleeing from the location-If, while making entry into the location, the extraction team observes a subject/suspect flee the location, the team will attempt to apprehend as directed by the Entry Team Leader.

Note- Suspect(s) will be pursued if suspect(s) can be taken into custody immediately. If suspect flees and cannot be taken into custody immediately then a perimeter will be established and search teams will be formed for tactical searches of the area.



Dogs/Vicious Animal – If during the enforcement operation a dog and/or other vicious animal is encountered and acts aggressively towards an officer, OC spray, DSBRs, and/or Taser X26 will be used on the animal as a deterrent. Lethal force against the animal will be used as a last option when all other attempts to control the animal have failed or are impractical, and only if the officers fear that



the animal poses a danger to the safety of the officers or others.

Evacuations – Will be conducted at the direction of the IC/TC.

Improvised Explosive Device (IED) - If, while clearing a location, the entry team observes an IED, the entry team leader will, as soon as a safely possible, verbally notify the IC/TC to discuss further options.

[REDACTED]

[REDACTED]

It is the intent of the participating officers/agents/supervisors to execute this operation as described herein. However, unforeseen occurrences may arise during the operation that will necessitate the altering of tactics and/or mission priorities in order to safely and successfully accomplish the investigative goals. The Incident Commander will address any such contingencies that are necessary as situations arise that are not covered in this Operations Plan.



SUB-TEAM MISSION

Animal Control Services Needed? [] Yes [x] No - If yes, staging location: _____

Police Canine Needed? [x] Yes [] No - If yes, staging location: _____

EMS On-Scene Stand-by? [x] Yes [] No - If Yes, staging location: [red box]

NOTIFICATIONS

Area Commander Notification: When applicable, outside agencies shall be notified of Operations, especially those involving undercover personnel. A record of these notifications shall be made below:

Table with 6 columns: Unit/Agency, Person Notified, Date Notified, Time Notified, Contact Number, Other Info. Rows include Area 1-3 Commanders, Comm. Div. Supv., North County Jail, Alameda PD, ACSO, Berkeley PD, CHP, Emeryville, San Leandro, SOS commander, and empty rows.

COMMUNICATIONS AND CONTROL

Dispatcher/Recording Required? [x] Yes [] No Dispatcher:
Primary Frequency: [redacted] Secondary Frequency:
PIO Needed? [] Yes [x] No If yes, Name of PIO:
Arrest/Bust Signals Discussed to include: Audible; Visual; Trouble (Audible); Trouble (Visual); Other. [] Yes [x] No



PERSONNEL

Span of Control must reflect a maximum 8:1 ratio unless approved by the Incident Commander.

Call Sign	Name	Serial #	Equipment	Assignment	Vehicle	Phone
	See Attachment A			Supervisor	1529	
					1530	
Signature required from commander authorizing more than 8:1 ratio:				Actual Ratio:6:1	SIGNATURE	
				Supervisor		
Signature required from commander authorizing more than 8:1 ratio:				Actual Ratio:0:1	SIGNATURE	
				Supervisor		
Signature required from commander authorizing more than 8:1 ratio:				Actual Ratio:	SIGNATURE	



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				Supervisor		

Signature required from commander authorizing more than 8:1 ratio:	Actual Ratio:	SIGNATURE
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				Supervisor		

Signature required from commander authorizing more than 8:1 ratio:	Actual Ratio:	SIGNATURE
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				Supervisor		

Signature required from commander authorizing more than 8:1 ratio:	Actual Ratio:	SIGNATURE
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UNDERCOVER INFORMATION

i [REDACTED]

	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
i	[REDACTED]				[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]
i					[REDACTED]



ADDITIONAL OPERATIONS INFORMATION

Include additional operations information not contained in another section

Actions on Objective:

[REDACTED]

Once all teams are in place, flash bangs will be deployed code 3 lights and sirens will be activated and contact will be attempted with the occupants of the target location via loudspeaker and telephone.

If the occupants do not answer, DSBRs will be deployed to the front and select windows (with command approval). If required; TNT shall make announcements for occupants to stand clear of all windows and one round will be deployed to the upper portion of select windows.

If the occupants refuse to exit, the incident will be treated as a barricaded subject and chemical munitions may be used at the discretion of the IC/TC.

Once the occupants have exited, the house may be checked using ESU robot and K9.

On order of the IC/TC the residences will be searched/ secured by the Entry Element.

A copy of the search warrant will be provided by a designated commander to the person in control of the property of [REDACTED]

Teams:

- Entry – Perez-Angeles/ Gonzales
- ERT – Brian / Cardona
- Rear Perimeter Alan – Barangan
- Snipers – JP
- ESU – Daza-Quiroz
- TNT – Ausmus
- TOST – Sidney

Timeline:

- 04Oct18
- [REDACTED]
- [REDACTED]
- 0400-Full Team Brief
- 0430-Sub-Team Briefs/Rehearsals
- [REDACTED]
- 0530 stage N/B on 73rd Ave
- 0545 Move to target location and set up at assigned locations
- 0600 Initiate SW service.
- TBD Relocate to secondary location [REDACTED] MacArthur



RISK ASSESSMENT OVERVIEW

GENERAL RISK INFORMATION

LOCATION	YES	NO
Urban	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Rural	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Daylight	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Dark	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Residential	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Commercial	<input type="checkbox"/>	<input checked="" type="checkbox"/>

INFORMATION ASSESSMENT	YES	NO
Confidential Informant	<input type="checkbox"/>	<input type="checkbox"/>
Concerned Citizen	<input type="checkbox"/>	<input type="checkbox"/>
Other: Surveillance	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Reliability Factor:	76 - 100%	

RISK ASSESSMENT CALCULATION

(a) Criminal History	(b) No. of Suspects	(c) Yes (2 pts. per Suspect)	(d) No (0 pts)	(e) Unknown (1 pt. per Suspect)	(f) Multiplier	(g) Risk Factor
Homicide					x 4	0
ADW					x 2	0
Armed Robbery	2	4			x 2	8
Other Violent Felony:	1	2			x 1	2
Other: 11370.1 H&S, 11351.5 H&S	1	2			x 1	2
Probation:	2	2			x 2	4
Parole:					x 2	0
Total						16

(a) Other Factors	(b) No. of Suspects	(c) Yes* (2 pts. per Suspect)	(d) No (Enter 0 pts)	(e) Unknown (1 pt. per Suspect)	(f) Multiplier	(g) Risk Factor
Alcohol / Drug Abuser: _____				5	x 1	5
Mental Condition: _____				5	x 1	5
Military / Police Background* _____			0		x 2	0
Drug Gang* _____			0		x 2	0
Prison Gang* _____			0		x 2	0
Hate Group* _____			0		x 2	0
* A YES response requires a Tactical Team Commander/Leader Consultation						Total
						10

(a) Weapons Assessment	(b) No. of Suspects	(c) Yes (2 pts. per Suspect)	(d) No (Enter 0 pts)	(e) Unknown (1 pt. per Suspect)	(f) Multiplier	(g) Risk Factor
Firearms: <u>Yes</u>	2	4			x 1	4
Explosives: _____			0		x 1	0
Knives/Stabbing Instruments: _____			0		x 1	0
Animals:				1	x 1	0
Other: _____			0		x 1	0
Total						4



OPD SWAT Team

**OAKLAND POLICE DEPARTMENT
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(a) Site Assessment	(b) Yes (2 pts. per Suspect)	(c) No (Enter 0 pts)	(d) Unknown (1 pt. per Suspect)	(e) Multiplier	(f) Risk Factor
Geographic Barriers (open ground, water, thick brush)		0		x 1	0
Fortified:				x 1	0
Booby Trapped:		0		x 1	0
Counter Surveillance:	8			x 1	8
Counter Surveillance Monitoring: _____				x 1	0
Additional Persons:		0		x 1	0
Chemicals: _____		0		x 1	0
Interior Unknown:		0		x 1	0
Locked Perimeter / Gate: Black Security Gate		0		x 1	0
Other: _____		0		x 1	0
Total					8
RISK ASSESSMENT POINT TOTALS					
Criminal History					16
Other Factors					10
Weapons Assessment					4
Site Assessment					8
GRAND TOTAL*					38

* Assessment must also consider the time factor. The less time available for planning, the higher the risk factor.

- 01 – 24 Pts Optional Tactical Team Consultation
- 18 – 24 Pts Consider Tactical Team Consultation
- 25 + Pts Recommended Tactical Team Consultation

Tactical Team Callout Yes No



PRE / POST OPERATIONS PLAN REVIEW AND SIGNATURE PAGE

PRE INCIDENT REVIEW

I have reviewed and approved the following documents:

- Risk Assessment Overview (if prepared)
- Pre-Incident Operations Plan
- Search Warrant documentation reviewed and approved (if applicable)

Reviewing Commander's Signature	SIGNATURE REQUIRED	Date:
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DEBRIEFING / AFTER ACTION REPORT

Debrief (Location TBD by Incident Commander)	Conducted By:	Date:	Time:
After Action Report (if required by Incident Commander) – Prepared By:			

COPIES FORWARDED TO:

<input type="checkbox"/> BOI D/C	Date:	By:
<input type="checkbox"/> BFO D/C	Date:	By:
<input type="checkbox"/> BOS D/C	Date:	By:
<input type="checkbox"/> OIG	Date:	By:
<input type="checkbox"/> Other:	Date:	By:
<input type="checkbox"/> Other:	Date:	By:
<input type="checkbox"/> Other:	Date:	By:
